

मार्च 2022 को समाप्त तिमाही के लिए नामांकन आधार पर
दिए गए कार्य/
की गई खरीद /परामर्श संविदाओं में पारदर्शिता पर
तिमाही प्रगति रिपोर्ट

QUARTERLY REPORT ON TRANSPARENCY IN WORKS /
PURCHASES / CONSULTANCY
CONTRACTS AWARDED ON NOMINATION BASIS
FOR QUARTER ENDED MARCH 2022

अनुलग्नक 'क'

ANNEXURE 'A'

सिविल/आंतरिक साज-सज्जा/पैकेज डील/बागबानी/
इलेक्ट्रिकल और
परामर्श संविदाएं/आर्किटेक्टों की नियुक्ति आदि

CIVIL / INTERIOR DECORATION / PACKAGE DEAL
HORTICULTURE / ELECTRICAL AND
CONSULTANCY CONTRACTS / APPOINTMENT OF
ARCHITECTS ETC.



क्र. स. SR. No.	परियोजना का तपशील DETAILS OF PROJECT	परियोजना की लागत (राशि लाख में) COST OF THE PROJECT (Rs. in lakh)	मंजूरीकृत प्राधिकारी के विवरण PARTICULARS OF SANCTION BY प्राधिकारी Authority	संस्था का नाम NAME OF AGENCY	नामांकन पर काम देने का कारण REASON FOR RESORTING TO NOMINATION	टिप्पणियाँ, यदि कोई हों REMARKS, IF ANY
1. AML-CFT, CELL						
1	Customer Risk Categorization (CRC) – Score Based Model Scope of work i) To review the Bank's Score-based Customer Risk Categorization (CRC) Model used for classifying the customers into different risk categories and to confirm that the Model fulfills the requirements under the extant regulatory guidelines ii) To review the methodology used by the Model and to suggest modifications / improvements if any, for improving the efficiency of the Model	9.25	Deputy General Manager AML-CFT Jaipur	M/s Deloitte Touche Tohmatsu India LLP One International Centre.	The consultant has been engaged in term of para 4 (d) of the policy issued vide Circular No CDO/Premises/SOP/1/2021-22 dated 14.07.2021 which read as under "If work is being awarded on nomination basis from empaneled list, it shall be ensured that the same is being awarded on pre-approved rates and also on rotation basis. RMD has empaneled 5 consultants vide note 1038 dated 22.12.2020 and approved rate chart for Risk related assignments. In term of above note during any year the share of any empaneled consulting firm should not exceed 40 % of the monetary value of the overall engagements, applicable in case of multiple assignments. Work allocation cap need to be maintained during the currency of the contract. However, for first two years i.e. till 31.12.2022 the above stated 40% cap need not be followed in work allocation. Further, to use the services one of the consultants empaneled with RMD a note 3295 dated 09.09.2021 has been approved by DMD & GCO	The Purchase Order was issued to consultant on 26.10.2021 and SLA was executed on 29.10.2021. The final report on CRC model was submitted by consultant on 14.01.2022. In view of the above information under Annexure III has been furnished for information to Board.



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	iii) To review the Risk Parameters, Risk Values, Weightages, Risk Scores, Risk Matrix etc. used in the Model and to suggest changes if any, for improving the output of the Model and prepare it for a test run iv) To review the results derived from the test run performed by the Bank and suggest modifications if any required for making the model more efficient. v) To Validate that the Model is in line with the Industry best practices. The Validation Report should provide the rationale / justification for all changes					and has concurrence of DMD & CRO. In this regard, a Price Negotiation Committee (PNC) was formed and approved by Vertical Head vide note dated 27.08.2021 for assignment of work on nomination basis. Based on that a Committee consisting of 5 members was formed which recommended as under: i) M/s Deloitte is one of the 5 firms empaneled by RMD recently for a period of 5 years for assisting the bank in executing projects on Risk, Finance and regulatory reporting. ii) The firm is well versed with the bank's ecosystem, the various products, processes and services etc, having carried out several projects for the bank in the past proving that it is technically and financially competent. iii) The initial rate quoted by the firm has been further negotiated by the PNC and has been finalized to Rs.9.25 Lac plus applicable taxes. The PNC has considered the finalized rate as reasonable and justified.	



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			प्राधिकारी Authority	On दिनांक को			
	suggested in the Model AML/CFT- Jaipur					iv) It is first scope of work of AML-CFT to be assigned to Deloitte. v) The Rate chart shall be applicable as approved in RMD Note dated 22.12.2020 In view of above recommendations DGM (AML-CFT) has approved assignment of work to M/S Deloitte within his delegation of financial powers. Further, the Compliance Department has also provided "Compliance Clearance" in respect of engagement of M/s Deloitte for validation of Score based CRC Model vide Mail dated 01.10.2021.	
2. COMPLIANCE DEPARTMENT							
1	External Consultant for SBI to provide third party assurance check on Tranche I Data Submission	42.20	GM (Regulatory Coordination)	01.02.2022	Deloitte Touche Tohmastu India LLP	The Firm Possesses the requisite expertise, experience and exposure in the area of scope	-



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			प्राधिकारी Authority	दिनांक को On			
3. CREDIT REVIEW DEPARTMENT							
1	Customer Specific Reports Crisil-Rs.40.00 lakh M/S. Creditchat-Rs. 21.00 Lakh Nexensu-Rs.30.00 Lakh Televisory-Rs.30.00 Lakh	121.00	DMD & CCO	07.03.2022	M/S. CRISIL M/S. Creditchat M/S. Nexensu M/S. Televisory	Company / Borrower specific data required by credit analyst while assessing the credit risk proposals	-
4. GLOBAL MARKET							
1	Independent validation of NOOP (Net overnight open position)	5.00	GM (Forex)	03.11.2021	M/s. M. M. Nissim & Co.	a) The Current Global pandemic / pandemic like situation. b) M. M. Nissim & Co. have a long association with SBI and are familiar with our systems and procedure. They were engaged in the capacity of concurrent auditor for Treasury operations of SBI; GST, Service Tax and TDS consultant for the Bank; were entrusted with the Review of GMUK codified circulars & also System testing and review of FX OUT, a new software at GMUK etc. c) Due to the complexity of the work, there would be a requirement of specialized manpower to finish the work in a time bound manner.	Compliance Clearance Received on 08.02.2022



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5. MARKETING AND COMMUNICATION DEPARTMENT							
1	Development of the anthem song "Rupaye ka rochak safat"	495.00	DGM (M&C)	12.01.2022	M/s R K Swamy BBDO Pvt. Ltd	1. GOI has launched the Azadi Ka Amrut Mahotsav (AKAM) campaign for completion of 75 years of independence. In this connection, DFS is organizing many centralized activities which were to be launched, during the iconic week. 2. Further, as advised by DFS vide its Memo dated 10.12.2022, the under mentioned activities. a) Development of video (Anthem Song), "Rupaye Ka Rochak Safar" in Hindi and 11 regional languages for AKAM. b) Development of digital archive (75 narratives) in two languages and c) Thank you song & rededication video. 3. In this regard, the services of the agency M/s R K Swamy BBDO Pvt. Ltd, were engaged on nomination basis as:- a. The agency M/s R K Swamy BBDO Pvt. Ltd was present in all the meetings of DFS with other participations from BFSI sector and had laid down the approach route for producing the videos during these meetings. b. The agency M/s R K Swamy BBDO Pvt. Ltd, is empaneled with	-





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						other PSUs like LIC, NABARD, SIDBI etc. who were also advised to undertake some coordinated and a few independent activities under AKAM. However, this media agency is presently not empaneled with SBI, but has been associated with Bank over the past few years. c. In view of the short time duration and the fact that the quality, tenor, and content handling was to be uniform and symphonized the agency M/s R K Swamy BBDO Pvt. Ltd, was engaged on nomination basis. Hence, in view of the foregoing, the approval for engaging the services of the agency M/s R K Swamy BBDO Pvt. Ltd was sought from the Competent Authority and the task of producing one video for SBI at a total cost of Rs. 4.95, 2.25 & 2.70 crore was assigned to them.	
2	Thankyou song & Rededication video	270.00	DGM (M&C)	12.01.2022	M/s R K Swamy BBDO Pvt. Ltd	As mentioned above	-
3	Digital Archive (75 narratives) in two languages.	225.00	DGM (M&C)	12.01.2022	M/s R K Swamy BBDO Pvt. Ltd	As mentioned above	-

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6. O&IS DEPARTMENT (INTERNATIONAL BANKING GROUP)							
1	Engagement of Attorney for negotiations with SBI Holdings in Trademark related issues Japan Ops	32.60	CGM (IB)	02.03.2022	M/s Abe, Ikubo & Katayama Law Firm	In the instant case considering there are no other firm quotations available in spite of Japan Ops approaching few other law firms practicing in this specialized area the proposal is being considered under Nomination Basis which would be the prudent approach. As per the Policy Paper on Transparency in works/purchases/consultancy approved by the Board (on 26th March 2010) engagements in areas like Intellectual Property Rights (Trademarks/Designs/Copy rights and Patents) IT related matters, International Commercial Dispute matters, Money laundering etc fall under special type of cases and in such matters services of advocates are to be availed from the market	-
2	Granting of Non-IT contract renewal of annual subscription with Thomson Reuters (TR) at Singapore for Operations for Online Compliance Training Modules,	23.06	Nomination approval by CGM (IB) Financial approval by CGM (IB)	05.02.2022 08.02.2022	Thomson Reuters (TR)	Thomson Reuters (TR) remains one of the leading e-Learning providers and has been the trusted provider of SBI Singapore's Training modules since 2014. TR has been able to provide them with the most comprehensive list of courses, with yearly updates to their courses as well as technical and customer support to resolve issues. TR offers a web-based format.	-



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	for their employees. Singapore Ops					thereby allowing the flexibility for new joiners to learn at any point during the calendar year regardless of their join date. TR is the only provider who offered Test and Quiz which will help assess staff understanding of the training. In the absence of other good alternative providers, who can provide courses across a broad spectrum covering the training requirements under Business Units, HR/ Operations/ Risk/ Compliance such as Conduct/ Ethics, AML/ Fraud/ Operational Risk, Environmental Risk and Cyber Information Security etc , Singapore Ops has prefers to continue with TR. Splitting the training requirements to a few other providers would prove to be more expensive and hence not considered.	
7. SMEBU – SMALL MEDIUM ENTERPRISES BUSINESS UNIT							
1	Subscribe to Commercial Trigger Alerts (Retention Alerts)	67.20	DMD (ASF)	01.01.2022	Transunion CIBIL Ltd.	The supplier / contractor has exclusive rights in respect of the goods / services and no reasonable alternative / substitute exists.	-



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8. STRATEGIC TRAINING UNIT						
1	SBI Wizards 2022 (SBI Family Quiz) STU	5.00	CGM & Head, STU	M/S Grey Cells	The nominated vendor is a well-known knowledge service agency and has demonstrated the necessary skillsets for curating the content in-house and delivering the same using cutting edge technology, at a reasonable discounted rate. Last year also, the vendor has organized the event appreciated across cadres.	-

Total amount of contracts awarded on Nomination basis is Rs.1295.31 lakh

प्रमाणपत्र Certificate

- मंडलों / कॉर्पोरेट केंद्र विभागों ने प्रमाणित किया है कि नामांकन के आधार पर कार्य का आवंटन उपयुक्त प्राधिकारी द्वारा अनुमोदित किया गया है।
The Circles / Corporate Centre Departments have certified that allotment of work on nomination basis has been approved by the appropriate authority.
- मंडलों / कॉर्पोरेट केंद्र विभागों ने प्रमाणित किया है कि नामांकन के आधार पर ठेके देने की शर्तों का पालन रिपोर्ट में शामिल सभी संविदाओं के संबंध में किया गया है।
The Circles / Corporate Centre Departments have certified that conditions for awarding contracts on nomination basis have been fulfilled in respect of all contracts reported.
- मंडलों / कॉर्पोरेट केंद्र विभागों ने यह भी पुष्टि की है कि उच्च मूल्य की संविदाएं देते समय केन्द्रीय सतर्कता आयोग के दिशानिर्देशों का दृढ़ता से पालन सुनिश्चित करने की प्रक्रिया को कड़ाई से पूरा किया गया है।
The Circles / Corporate Centre Departments have also confirmed that due diligence process including adhering to CVC guidelines has been strictly followed while awarding high value contracts.
- विभागों ने पुष्टि की है कि विभागों द्वारा नामांकन के आधार पर दिए गए अनुबंध का अनुपालन विभाग द्वारा पुनरीक्षण किया गया है।
The departments have confirmed that the contract awarded on nomination basis have been vetted by Compliance department.

