

**माचे 2024 को समाप्त तिमाही के लिए नामांकन आधार पर
दिए गए कार्य/
की गई खरीद /परामर्श संविदाओं में पारदर्शिता पर
तिमाही प्रगति रिपोर्ट**

**QUARTERLY REPORT ON TRANSPARENCY IN WORKS /
PURCHASES / CONSULTANCY
CONTRACTS AWARDED ON NOMINATION BASIS
FOR QUARTER ENDED MARCH 2024**

अनुलग्नक 'क'

ANNEXURE 'A'

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| सिविल/आंतरिक साज-सज्जा/पैकेज डील/बाग़बानी/ इलेक्टिकल और परामर्श संविदाएं/आर्किटेक्टों की नियुक्ति आदि | CIVIL / INTERIOR DECORATION / PACKAGE DEAL HORTICULTURE / ELECTRICAL AND CONSULTANCY CONTRACTS / APPOINTMENT OF ARCHITECTS ETC. |
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| क्र. सं. Sl. No. | परियोजना / कार्यका नाम और स्थान NAME OF THE PROJECT / WORK AND PLACE | परियोजना की लागत / नामांकन आधार पर भुगतान किए गए शुल्क (राशि करोड़ में) COST OF THE PROJECT / FEES PAID ON NOMINATION BASIS (Rs. in Crore) | मंजूरी कर्ता प्राधिकारी के विवरण PARTICULARS OF SANCTION BY | | आर्किटेक्ट/ कंसल्टेंट/ ठेकेदार/ सेवाप्रदाता के संबंध में नामांकन (कृपयानीचेविवरण दें।) NOMINATION RESORTED TO, IN RESPECT OF ARCHITECT/CONTRACTOR/ SERVICE PROVIDER (PLEASE MENTION BELOW) | नामांकन पर काम देने का कारण REASON FOR RESORTING TO NOMINATION | टिप्पणियाँ, यदि कोई हों REMARKS, IF ANY |
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| AGENCY BANKING DEPARTMENT | | | | | | | |
| 1 | Procuring consultancy Services from Kaizen Institute Sain LLP for studying all the processes of Currency Chests and advise the solutions for controlling the penalties being levied in Currency Chest Branches. | 0.46 | MD (RB&O) | 11.11.2023 | M/s Kaizen Institute Sain LLP | RBI as the regulator and monitors the operations of the Currency Chests (CCs) and levied penalty wherever deficiencies observed. It is observed that in the recent past, penalties levied by RBI on Currency Chests has increased many-fold. In view of the intricacies involved in the Currency Chest operational procedures, we have engaged services of Kaizen Institute | - |

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| | | | | | | <p>Sain LLP, a reputed consultant. Kaizen institute is worldwide expert in GEMBA(Japanese term" the real place") improvement. Their approach is to not only solve problems unlike other consulting companies but to develop a problem-solving capability in those involved in the project. They have the unique Japanese methodology of looking at the present process / suggesting improvement from within, in which they are proficient and proven. They have already executed the work of LCPC successfully and in an efficient manner.</p> <p>The consultant is unique for its approach, and no reasonable alternative of that repute to understand such intricacies seems available at the time of awarding contract.</p> | |
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| ASSOCIATES & SUBSIDIARIES DEPARTMENT | | | | | | | |
| 1 | Engagement of M/s Deloitte Touche Tohamatsu India LLP to Carry out valuation of Equity Shares of SBI Global Factors Limited as on 30.09.2021, for the purpose of purchasing entire stake in SBI Global Factors Ltd. (SBIGFL) by SBI. | 0.07 | GM (A&S) | 11.11.2023 | M/s Deloitte Touche Tohamatsu India LLP | <p>1. M/s Deloitte was initially appointed valuer to undertake valuation of SBI Global Factors Ltd. (SBIGFL) as on 31.03.2020 through RFP process and submitted its final report on September 25, 2020.</p> <p>2. On account of Pandemic, process of acquisition of shares of other shareholders in the company for which the valuation of fair market price of equity shares of the company was carried out got delayed. In order to re ascertain the fair market valuation of equity</p> | - |

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| | | | | | | shares of Company, fresh valuation based on the financials as on 30.09.2021 was needed. As M/s Deloitte had already carried out the valuation based on the financials as on 31.03.2020, their services were re engaged on nomination basis to undertake fresh valuation of equity shares of the company based on the financials as on 30.09.2021. | |
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| CREDIT PERFORMANCE AND MONITORING DEPARTMENT | | | | | | | |
| 1 | Project PRISM, Credit Performance Monitoring Department | 12.00 | ECCB | 17.10.2023 | M/s Boston Consulting Group (India) Pvt. Ltd. | a) M/s Boston Consulting Group (India) Pvt. Ltd. has been appointed as consultant for "Project Pratham" for revamping of SME operating models and for "Project LEAP" to streamline the sanction journey through digitization, automation, and simplification of existing credit processes. Project Pratham has been rolled out in 3 Circles and Project LEAP is under | - |

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| | | | | | | <p>advanced stage of implementation.</p> <p>b) Through these engagements, BCG has developed expertise in understanding Bank's LLMS/Internal IT architecture and credit processes both for SME and corporate borrowers.</p> <p>c) Project PRISM envisages to exploit this to further automate post sanction credit processes and arrive at Health Score of Customer on an ongoing and real-time basis. PRISM involves leveraging the automation already being carried out through Project LEAP, BCG is a good fit for the purpose on account of its association with recent transformational changes introduced by the Bank.</p> <p>d) BCG has also worked on multiple such engagements of stress identification and portfolio monitoring across different economies. In developed markets like North America they have worked at TD Bank, RBC & BMO, in Europe they have implemented this at Commerz Bank, Danske, DNB, Intesa. They have also worked on such an engagement at NAB in</p> | |
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| | | | | | | <p>Australia, in addition, they have delivered such a project in Emerging Markets like Indonesia (BNI), South America (BCI), Vietnam (Techcorm Bank), Sri Lanka (Commercial Bank of Ceylon), Egypt (Bank Misr) etc. They have also implemented such a model at Axis Bank in India.</p> <p>In view of the above, we have engaged the services of BCG for Project PRISM on Nomination basis.</p> | |
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| CREDIT REVIEW DEPARTMENT | | | | | | | |
| 1 | SaveRisk Database provided by Synergy Fintech Private Limited-Access to latest Data on Indian Public and Private Sector Companies | 0.32 | CGM,CRD | 09.01.2024 | M/s Synergy Fintech Private Limited | Saverisk complies Data for a Company / borrower based on defined Risk parameters which is available to Credit Analyst at CRD. Saverisk Database provides information on various parameters like Financial Data (P&L, Balance Sheet, Cashflows & Ratios) Shareholding Details, Auditor Qualifications, Related Entities Transactions, Detailed Charges in ROC, Staff movement details as per EPFO. | - |

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| | | | | | | <p>Saverisk database is useful for CRD functionaries in accessing latest information on the corporates / borrowers which is also helpful in taking a holistic view on the proposal / borrower at the time of review of the proposals and assigning risk scores.</p> <p>The company has exclusive database available in respect of the information required by us and no reasonable alternative found.</p> | |
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| DT & e-COMMERCE DEPARTMENT | | | | | | | |
| 1 | To provide below services in YONO 2.0 applications 1). During account opening process. a. OCR/ICR – Read and Extract fields using Optical Character Recognition (OCR) / Intelligent Character Recognition (ICR) b. Officially Valid Document (OVD) verification 2. During loan processing in YONO 2.0 a. 26AS extraction | 1.90 | DMD & Head (Digital Banking) | 12.09.2023 | M/s Signzy Technologies Private Limited | Signzy Technology was previous engaged with Bank for its services due to which Bank is thoroughly aware of Signzy's governance structure which exhaustively covers both operational and financial risk involved in various processes. Further it provides the bank a sense of assurance w.r.t reliability, service delivery, process awareness and adherence to risk & compliance standards of the Bank. Signzy assist businesses / enterprises by providing Application Programme | 1. The service on nomination has been awarded for a period of 12 months only form the date of going live to M/s Signzy Technologies Private Limited |

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| | | | | | | <p>Interface (API) capabilities for OVD solutions that simplify identity management while preserving total control over data protection.</p> <p>The OVD verification services enhances the risk mitigation capabilities of the Bank and its integration in digital channels has become a key component in operational Risk Management & Fraud prevention in case of NTB customer. In view of the stringent timelines of YONO 2.0 rollout and criticality of the services form risk management and fraud prevention perspective, these services were urgently required. Hence, M/s Signzy Technologies Pvt. Ltd. has been engaged on nomination basis.</p> | |
| 2 | <p>Following services have been taken from Trans Union CIBIL Ltd. in processing of Loan Applications in YONO 2.0</p> <p>a. High Risk Alerts b. Address change, change of mobile number c. Multiple PANs checking d. Pre-Sanction Screening Waiver</p> | 0.75 | DMD & Head (Digital Banking) | 12.09.2023 | M/s Trans Union CIBIL Limited | <p>1. TransUnion CIBIL, having proprietary for more than 600 million individual data which covers large customer base, is uniquely placed to help the bank with above areas through Robust identity Assessment & Field Investigation Waiver Policy Framework.</p> <p>2. The niche services mentioned above are urgently required for enhancing risk</p> | <p>1. The service on nomination has been awarded for a period of 12 months only form the date of going live to M/s Trans Union CIBIL Limited.</p> |

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| | | | | | | awareness in the journeys envisaged under YONO 2.0 and to meet the stringent timelines of YONO 2.0 rollout. Hence, M/s TransUnion CIBIL Ltd is engaged on nomination basis. | 2. Purchase Order issued on 06.02.2024 |
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| INTERNATIONAL BANKING GROUP | | | | | | | |
| 1 | Singapore Operations Renewal of non-comprehensive 3 years contract for ATM services, Cash-in-transit for branches and Monetary Authority of Singapore (MAS) run for period 15.10.2023 to 14.10.2026 | 8.38 | Approval to consider on Nomination by DMD (IBG) | 10.02.2024 | M/s Certis CISCO, Singapore | CISCO is managing all the security systems, cash replenishment etc. for their 19 ATMs. In the event of changing of vendor, CISCO would be required to remove their security systems, software, cash management services etc. before new vendor takes over the job. There will be long period of transition till new vendor is able to stabilize the operations. Since, ATM cash security is involved, therefore, ATM service would have to be stalled till new vendor sets up everything and able to run the operations smoothly after thorough testing. | - |
| | | | Financial Approval by CGM(IB) | 24.02.2024 | | | |

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| | | | | | | <p>Reasons of business continuity are evident in such a case as replacement cost may be prohibitive and not in the best of interests of the bank for this sensitive activity. ATMs are considered essential services and SBIS is of the view that changing vendors may have adverse impact on the availability of ATMs and attract negative publicity for the bank.</p> <p>Hence, the existing arrangement with CISCO is renewed on nomination basis.</p> | |
| 2 | <p>Singapore Operations Payment of Annual Subscription fee to LSEG Group (Refinitiv Asia Pte Ltd & Refinitiv Transaction services) for 'Trading' platform and for use of 'World Check' data in 'Pythagoras' scrubbing application for a period of 1 year.</p> | 1.76 | <p>Approval to consider on Nomination by DMD (IBG)</p> <p>Financial Approval by CGM(IB)</p> | <p>23.03.2024</p> <p>31.03.2024</p> | <p>M/s LSEG Group (Refinitiv Asia Pte Ltd)</p> | <p>As a market leader in financial data and technology solutions, Refinitiv Asia Pte Ltd. sets the standard for excellence in the industry. REFINITIV has demonstrated a consistent track record of delivering high-quality services and essential tools & platform for accessing financial markets. Over the years, they have established themselves as a reliable and trusted partner. For over a decade, SBIS have relied on the services of REFINITIV group, formerly known as Thomson Reuters, for their primary electronic trading platform for forex and money market operations. REFINITIV possesses deep industry expertise and understanding, with a wealth of experience in financial data</p> | - |

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| | | | | | | <p>management, market analysis, and technology solutions.</p> <p>For reasons of business continuity, these services are required to be continued.</p> <p>The service provider has exclusive rights in respect of the above services and no reasonable alternative or substitute exists. Hence such renewals of subscriptions are considered on Nomination basis.</p> | |
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| MARKETING & COMMUNICATION DEPARTMENT | | | | | | | |
| 1 | 7 Customer Testimonials on Atal Pension Yojana (APY) | 2.28 | DGM (M&C) | 14.08.23 | M/s R K Swamy Pvt. Ltd | M/s R K Swamy Hansa, a media agency, is working in close coordination with DFS for strategizing and implementing the activities under Azadi Ka Amrit Mahotsav (AKAM). The services of M/s R K Swamy are being engaged for taking forward the activities in Azadi Ka Amrit Mahotsav campaign on end-to-end basis for a period of 2 years i.e. from September 2021 till August 2023 and the approval for the same has been obtained from the competent authority. | - |

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| | | | | | | <p>As per the record of discussions of the meeting held on 03.08.2023, the DFS had advised us to submit 7 Customer Testimonials on Atal Pension Yojana (APY) scheme under Azadi ka Amrit Mahotsav (AKAM).</p> <p>To execute the requirements of DFS, we engaged the services of M/s R K Swamy on nomination basis on 19.08.2023.</p> <p>The same has been vetted by the Compliance Department conforming to CVC Guidelines on 12.02.2024.</p> | |
| 2 | <p>Linkedin Branding & Hiring Solutions –</p> <p>SOCIAL MEDIA – MARKETING AND COMMUNICATION DEPARTMENT</p> | 0.62 | GM(CC&M) | 20.12.2023 | <p>Linkedin Branding & Hiring Solutions</p> | <p>Our bank is officially present on LinkedIn since 10th June 2015. Out of 7 Social Media platforms (Facebook, Twitter, Instagram, YouTube, LinkedIn, Quora, Pinterest) where SBI has official Social Media Platform which provides the facility of job Postings on a company's official LinkedIn page and has expertise in providing the Talent Solutions.</p> <p>Besides providing the facility of Job Postings, this Social Media platform also provides the facility of “Life Page” (Career Pages) which may be utilized for creating positive brand perception for visitors and</p> | <p>The order form was signed on 15.01.2024 and the services started from 26.01.2024.</p> |

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| | | | | | <p>projecting SBI as Employer of the Choice.</p> <p>Another premium feature "Recruiter License" is provided by LinkedIn which will help us in identifying the most relevant candidates for Specialized Posts.</p> <p>This Service provider has exclusive rights in respect of the services and no other reasonable alternative is available. Hence, M/s LinkedIn Branding & Hiring Solutions are engaged on nomination basis.</p> | |
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| क्र. सं. SI. No. | परियोजना / कार्यका नाम और स्थान NAME OF THE PROJECT / WORK AND PLACE | परियोजना की लागत / नामांकन आधार पर भुगतान किए गए शुल्क (राशि करोड़ में) COST OF THE PROJECT / FEES PAID ON NOMINATION BASIS (Rs. in Crore) | मंजूरी कर्ता प्राधिकारी के विवरण PARTICULARS OF SANCTION BY | | आर्किटेक्ट/ कंसल्टेंट/ ठेकेदार/ सेवाप्रदाता के संबंध में नामांकन (कृपयानीचेविवरण दें।) NOMINATION RESORTED TO, IN RESPECT OF ARCHITECT/CONTRACTOR/ SERVICE PROVIDER (PLEASE MENTION BELOW) | नामांकन पर काम देने का कारण REASON FOR RESORTING TO NOMINATION | टिप्पणियाँ, यदि कोई हों REMARKS, IF ANY |
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| | | | प्राधिकारी Authority | दिनांक को On | | | |
| RISK MANAGEMENT DEPARTMENT | | | | | | | |
| 1 | Engagement of Consultant for revamp of Risk Appetite Framework (RAF) phase –II on Nomination basis: The framework includes the Bank's Risk Appetite Statements (RAS), Objective Functions for monitoring the Earnings, Capital and Liquidity of the Bank at the apex level and Credit, Market, Operational and Liquidity Risk related thresholds. | 9.60 | ECCB | Sanctioned on 26.12.2023 | M/s Boston Memorandum Consultant Group (BCG) | M/s Boston Memorandum Consultant Group (BCG) was engaged through RFP process for Phase I. Since Tendering Phase II is continuation of Phase I, allotted on nomination basis. | In-principle approval was obtained from MD (R, C & SARG) for hiring existing consultant (BCG) 06.10.2023. The Nomination screening committee has recommended continuation of existing consultant for revamp of RAF Phase II. |

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| SHARES AND BONDS DEPARTMENT | | | | | | | |
| 1 | Comprehensive review of policy on materiality of Related Party Transactions (RPTs) and formulation of Operational Guidelines | 0.12 | DGM (Shares & Bonds Department) | 23.01.2024 | M/s. Vinod Kothari & Co. Company Secretaries (Practicing Company Secretaries Firm) | As per Security Exchange Board of India (SEBI) Listing Obligations and Disclosure Requirement (LODR) and Reserve Bank of India (RBI) inspection for Supervisory Evaluation, 2023, the Bank had to undertake a comprehensive review of its policy on Related Party Transactions to ensure coverage of all types of transactions in adherence to regulatory requirements. Accordingly, it was required to appoint a consultant having | - |

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| | | | | | <p>expertise on regulatory compliances especially with understanding RPTs in banking sphere to draft the RPT policy as well as operational guidelines. Proposals from top consultants in this field were called for.</p> <p>Based on the Scope of Work elucidated by us, quotations were received from the consultants having expertise in this area. M/s. Vinod Kothari & Co., a firm with a combined professional experience of more than 30 years in the field of compliances, regulatory affairs, banking and corporate laws, etc. quoted Rs. 14.50 lacs for undertaking this activity which was the lowest quote received from amongst all the consultants. The price was further negotiated to Rs. 12 lakhs (plus applicable taxes) with M/s. Vinod Kothari & Co. by the Interdepartmental Nomination & Screening Committee.</p> <p>Looking at the exigency, stringent timeline and complex nature of work assignment, the engagement of the consultant was proposed on nomination basis, though price discovery by calling quotations from various consultants was done.</p> <p>Considering the above reasons, M/s. Vinod Kothari & Co. was appointed on Nomination basis.</p> | |
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| SME BUSINESS UNIT | | | | | | | |
| 1 | To subscribe to Commercial PR Product for generating PABL Leads | 4.47 | DMD (ASF) | 04.02.2024 | TransUnion CIBIL Limited | TransUnion Credit Information Bureau (India) Limited (TU CIBIL) being the oldest and leading Credit Information Company (CIC) established in the year 2000, maintains a vast database of records. This would, therefore, provide us with reliable information for our preliminary assessment of entities based on their past and present credit behavior. SBI is long term member of TU CIBIL and Bank has been using TU CIBIL services to run a due | - |

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| | | | | | | <p>diligence check for individuals and non-individual borrowers.</p> <p>TU CIBIL is India's leading credit information company (CIC) with necessary expertise and competency in providing such services.</p> <p>TransUnion Credit Information Bureau (India) Limited Micro, Small and Medium Enterprises (TU CIBIL MSME) Rank CIBIL MSME Rank ;</p> <p>(CMR) score provides rank to the MSME. CMR takes into account liquidity risk, repayment track and firmographic behavior. Use of same has been recommended by RBI-U K Sinha Committee (Expert Committee on Micro Small and Medium Enterprises)</p> <p>As per TU CIBIL, CMR is statistical built behavioral score for MSME'S to predict default in next 12 months. The CMR has held stable through the pandemic and post pandemic.</p> <p>TU CIBIL output is better able to weed out undesired selection of leads for pre-approved offer to ensure robust model building and right set of customer selection.</p> <p>As there is no reasonable alternative / substitute exists for our specific requirements we have engage services of TransUnion CIBIL Limited on nomination basis.</p> |
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| SUSTAINABILITY DEPARTMENT | | | | | | | |
| 1. | Engagement of Statutory Service Auditor Talati and Talati LLP for Reasonable Assurance of Sustainability. | 0.19 | Financial Sanction by CGM, ESG & CFU | 29.01.2024 | M/s Talati and Talati LLP | SEBI vide its circular no. SEBI/HO/CFD/CFD-SEC-2/P/CIR/2023/122 dated 12th July, 2023 has introduced updated Business Responsibility and Sustainability Reporting (BRSR) and top 1000 listed entities (by market capitalization) are required to make disclosure as per updated BRSR format, as part of their annual report. Also top 150 listed entities have to | The engagement has been approved by ACB and Central Board. |

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| | | | | | | <p>mandatorily undertake reasonable assurance of the BRSR Core w.e.f. FY 2023-24. Further, SEBI circular mandates that:</p> <p>i) The Board of the listed entity shall ensure that the assurance provider of the BRSR Core has the necessary expertise, for undertaking reasonable assurance.</p> <p>ii) The listed entity shall ensure that there is no conflict of interest with the assurance provider appointed for assuring BRSR Core.</p> <p>Additionally, SEBI has in its clarifications under its FAQ section on modalities for engagement of Assurance service provider has advised that the statutory auditor of a listed entity can be appointed as the Assurance provider for the BRSR Core. Thus, to avoid conflict of interest as per SEBI's guidelines Bank's Statutory Central Auditor has been appointed as Reasonable Assurance provider.</p> <p>Further Bank has been undertaking voluntary limited assurance of GRI indicators in the Sustainability Report since FY 2021. As the scope of work for Reasonable and Limited assurance is overlapping for</p> | |
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| | | | | | | <p>many indicators, Statutory Central Auditors have been engaged for limited assurance also.</p> <p>Approval of Central Statutory Auditors identified by FRT department have been obtained by ACB vide approval dated 09.02.2024. Also, to comply with SEBI guidelines Board approval has also been obtained vide Central Board meeting dated 28.02.24.</p> | |
| 2 | Engagement of Statutory Central Auditor Rama K Gupta and Co. for Limited Assurance of Sustainability Report for FY 2023-24 | 0.05 | Financial Sanction by CGM, ESG & CFU | 29.01.2024 | M/s Rama K Gupta and Co. | <p>SEBI vide its circular no. SEBI/HO/CFD/CFD-SEC-2/P/CIR/2023/122 dated 12th July, 2023 has introduced updated Business Responsibility and Sustainability Reporting (BRSR) and top 1000 listed entities (by market capitalization) are required to make disclosure as per updated BRSR format, as part of their annual report. Also top 150 listed entities have to mandatorily undertake reasonable assurance of the BRSR Core w.e.f. FY 2023-24. Further, SEBI circular mandates that:</p> <p>i) The Board of the listed entity shall ensure that the assurance provider of the BRSR Core has the necessary expertise, for</p> | The engagement has been approved by ACB and Central Board. |

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| | | | | | <p>undertaking reasonable assurance.</p> <p>ii) The listed entity shall ensure that there is no conflict of interest with the assurance provider appointed for assuring BRSR Core.</p> <p>Additionally, SEBI has in its clarifications under its FAQ section on modalities for engagement of Assurance service provider has advised that the statutory auditor of a listed entity can be appointed as the Assurance provider for the BRSR Core. Thus, to avoid conflict of interest as per SEBI's guidelines Bank's Statutory Central Auditor has been appointed as Reasonable Assurance provider.</p> <p>Further Bank has been undertaking voluntary limited assurance of GRI indicators in the Sustainability Report since FY 2021. As the scope of work for Reasonable and Limited assurance is overlapping for many indicators, Statutory Central Auditors have been engaged for limited assurance also.</p> <p>Approval of Central Statutory Auditors identified by FRT department have been obtained by ACB vide approval dated 09.02.2024. Also, to</p> | |
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| | | | | | | comply with SEBI guidelines Board approval has also been obtained vide Central Board meeting dated 28.02.24. | |
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