



**REQUEST FOR PROPOSAL FOR SORTING, PHYSICAL
SEGREGATION OF LOAN FILES/DOCUMENTS, SCANNING AND
DIGITISATION AT VARIOUS SBI CENTRES UNDER LHO BHOPAL**

Ref: RFP/ RE&RL BHO/ 2024-25/2

Dated: 11.03.2025

**The Dy. General Manager (RE&RL)
State Bank of India
Local Head Office,
Bhopal
Address: State Bank of India
RE & RL Department,
1st Floor, LHO Building,
Hoshangabad Road,
Bhopal-462011.
Phone-0755 2570032**

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1. Invitation to Bid:

- 1.1. State Bank of India, a body corporate constituted under State Bank of India Act 1955, having its Corporate Centre at Madame Cama Road, Nariman Point, Mumbai-400 021 and one of its Local Head Office at Bhopal through its RE & RL department at LHO, The Dy. General Manager (RE & RL),(Address: State Bank of India, RE & RL Department, 1st Floor, LHO, Hoshangabad Road ,Bhopal-462011,Phone -0755 2570032).(hereinafter called the “the Bank”). This Request for Proposal (RFP) has been issued by the Bank for selection of Vendors (hereinafter referred to as the Vendor/Service Provider) for sorting, physical segregation of Loan files/documents/records/ associated enclosures into various pre-defined folders/sets/buckets, scanning and digitization of documents, digitizing the records of Loan documents of RACPCs/RASMECs/RACCs/Branches/other offices along with associated enclosures and relevant records etc. held at any location of the Bank or held at Bank’s Document Archival Centre (DACs) or some other storage facility/branches /offices within the control of the SBI , Local Head Office , Circle name and Address Bhopal Circle (Address: State Bank of India, RE&RL Department,1stFloor, LHO, Hoshangabad Road ,Bhopal-462011,Phone -0755 2570032)**
- 1.2. The scope may be increased based on future requirements of the bank to cover records of branches within the control of the Local Head Office. A list of locations of RACPCs/RASMECs/RACCs/DACs/Branches or other outfits, if deemed necessary may be increased or decreased as per the discretion of the bank.**
- 1.3. The RFP intend to select vendors to do the job of sorting, physical segregation of Loan files/documents/associated enclosures into various pre-defined folders/sets/buckets, scanning and digitization of Loan documents/Records. Bidders therefore, will submit technical bids and commercial bid at SBI, Local Head Office , Circle name and Address (Bhopal Circle, Address: State Bank of India , RE&RL Department,1st Floor LHO, Narmadapuram Road, Bhopal-462011 Phone:0755 2570032)**
- 1.4. Technical bid will be evaluated first. Bidders selected in technical bid will be allowed to participate in commercial bid through e-reverse auction. Bank may use Indicative commercial bid provided by such bidders (selected in commercial bid) as reference in reverse auction.**

- 1.5. **The purpose of RFP is to invite proposals from experienced Service Providers of repute and credentials for providing the facility of sorting, physical segregation of Loan files /records/documents into various pre-defined folders/sets/buckets, scanning and digitization at bank's required Centers/Locations, i.e. as per attached Annexure-'B'**
- 1.6. **In order to meet the sorting, physical segregation of Loan files into various pre-defined folders/sets/buckets, scanning and digitization requirement, the Bank proposes to invite tenders from eligible vendors to set up and undertake scanning and digitization, as per details/scope of work mentioned in Annexure-D of this RFP document.**
- 1.7. **Bidder shall mean any entity (i.e. juristic person) who meets the eligibility criteria given in Annexure-C (i) & Annexure – C(ii) of this RFP and willing to provide the services as required in this bidding document. The interested Bidders who agree to all the terms and conditions contained in this document may submit their Bids with the information desired in this bidding document (Request for Proposal) on bid formats as per Annexures-C(i) , C(ii),F, G, H, I, J and supporting documents / certificates mentioned there in . The Bidder is also required to submit letter of authority from the company authorizing to sign bid documents and participate in bid process on behalf of the company.**
- 1.8. **Address for submission of Bids, contact details including email address for sending communications are given in part II of this RFP document.**
- 1.9. **This document shall not be transferred, reproduced or otherwise used for purpose other than for which it is specifically issued.**
- 1.10. **Interested Bidders are advised to go through the entire document before submission of Bids to avoid any chance of elimination. The eligible Bidders desirous of taking up the project for sorting, physical segregation of Loan files/documents/records/associated enclosures into various pre-defined folders/sets/buckets, Scanning and digitization and providing various services related thereto to the SBI are invited to submit their technical and commercial proposal in response to this RFP. The criteria and the actual process of evaluation of the responses to this RFP and subsequent selection of the successful Bidder will be entirely at Bank's discretion. This RFP seeks proposal from Bidders who have the necessary expertise,**

capability & experience to provide the proposed services adhering to Bank's requirements outlined in this RFP.

2. Disclaimer:

- 2.1 The information contained in this RFP document or information provided subsequently to Bidder(s) whether verbally or in documentary form/email by or on behalf of State Bank of India (Bank), is subject to the terms and conditions set out in this RFP document.
- 2.2 This RFP is not an offer by State Bank of India, but an invitation to receive responses from the eligible Bidders. No contractual obligation whatsoever shall arise from the RFP process unless and until a formal contract is duly signed and executed by authorized official(s) of State Bank of India with the selected Bidder.
- 2.3 The purpose of this RFP is to provide the Bidder(s) with information to assist preparation of their Bid proposals. This RFP does not claim to contain all the information each Bidder may require. Each Bidder should conduct its own investigations and analysis and should check the accuracy, reliability and completeness of the information contained in this RFP and may seek necessary clarifications, if need be. The Bank may in its absolute discretion, but without being under any obligation to do so, update, amend or supplement the information in this RFP.
- 2.4 The Bank, its employees and advisors make no representation or warranty and shall have no liability to any person, including any Applicant or Bidder under any law, statute, rules or regulations or tort, principles of restitution or unjust enrichment or otherwise for any loss, damages, cost or expense which may arise from or be incurred or suffered on account of anything contained in this RFP or otherwise, including the accuracy, adequacy, correctness, completeness or reliability of the RFP and any assessment, assumption, statement or information contained therein or deemed to form or arising in any way for participation in this bidding process.
- 2.5 The Bank also accepts no liability of any nature whether resulting from negligence or otherwise, howsoever caused arising from reliance of any Bidder upon the statements contained in this RFP.
- 2.6 The issue of this RFP does not imply that the Bank is bound to select a Bidder or to appoint the Selected Bidder or Concessionaire, as the case may be, for the

Project and the Bank reserves the right to reject all or any of the Bidders or Bids without assigning any reason whatsoever.

2.7 The Bidder is expected to examine all instructions, forms, terms and specifications in the bidding document. Failure to furnish all information required by the bidding document or to submit a Bid not substantially responsive to the bidding document in all respects will be at the Bidder's risk and may result in rejection of the Bid.

2.8 The Bidder shall bear all cost in connection with bidding.

3. Definitions:

In this connection, the following terms shall be interpreted as indicated below:

- 3.1 **"The Bank"** means State bank of India, Local Head Office (Bhopal Circle ,Address: State Bank of India ,RE& RL Department,1st Floor, LHO, Hoshangabad Road,Bhopal-462011 Phone:0755 2570032) represented through its RE & RL Department at LHO, Bhopal) which has invited the bids for **sorting, physical segregation of Loan files /records/ documents into various pre-defined folders/sets/buckets**, scanning and digitization from the eligible vendors. Bank also refers to branch/RACPC/RASMEC/RACC/ DAC/ CPCs/LHO/ Department/ Offices as the context may require.
- 3.2 **"Bidder/Service Provider/System Integrator"** means an eligible entity/firm submitting the Bid in response to this RFP.
- 3.3 **"Bid"** means the written reply or submission of response to this RFP.
- 3.4 **"The Contract"** means the agreement entered into between the Bank and the Vendor, as recorded in the Contract Form signed by the parties, including all attachments and appendices thereto and all documents incorporated by reference therein.
- 3.5 **"Vendor/Service Provider"** is the successful Bidder found eligible as per eligibility criteria set out in this RFP, whose technical Bid has been accepted and who has emerged as successful Bidder as per the selection criteria set out in the RFP and to whom notification of award has been given by Bank.
- 3.6 **"The Contract Price/Project Cost"** means the price payable to the Vendor under the Contract for the full and proper performance of its contractual obligations.

- 3.7 **“The Equipment/Product”** means software / firmware / operating software/Hardware which the Vendor is required to supply to the Bank under the Contract.
- 3.8 **“The Services”** means those services ancillary to the supply of the equipment/product, such as transportation, transit insurance, installation, commissioning, customization, integration, provision of technical assistance, training, maintenance and other such obligations of the Vendor covered under the Contract.
- 3.9 **“The Project”** means **sorting, physical segregation of Loan files/documents/records/associated enclosures into various pre-defined folders/sets/buckets**, scanning and digitization at Bank’s premises by the vendor at his own cost including installation, testing and commissioning of various gadgets/computer system and regular maintenance, for the contract period.
- 3.10 **“The Project Site”** means locations where **physical segregation of loan files/documents**, scanning and digitization being undertaken.
- 3.11 “Circle “means LHO Centre and branches/offices under the administrative control of the Local Head Office (LHO).

4. Scope of Work and Standard Operating Procedure

As given in **Annexure D** of this document.

5. Eligibility criteria, technical & functional specification:

- i. Bid is open to all Bidders who meet all items of the eligibility criteria and Technical & functional specifications as in **Annexure-C (i) & C(ii)**. The Bidder has to submit the documents substantiating eligibility criteria as mentioned in **Annexure-C (i) & C(ii)**.

6. Cost of Bid documents:

The participating Bidders shall bear all the costs associated with or relating to the

preparation and submission of their Bids including but not limited to preparation, copying, postage, delivery fees, expenses associated with any demonstration or presentations which may be required by the Bank or any other costs incurred in connection with or relating to their Bid. The Bank shall not be liable in any manner whatsoever for the same or for any other costs or other expenses incurred by a Bidder regardless of the conduct or outcome of the bidding process.

Bidders to submit DD of Rs 25000/- as cost of tender along with technical bid. The amount is nonrefundable.

7. Clarifications on RFP:

- 7.1 Bidder requiring any clarification of the bidding document may notify the Bank in writing strictly as per the format given in **Annexure-L** at the address and by e-mail given in part II of this document within the date/time mentioned in the schedule of events.
- 7.2 The queries received and response of the Bank thereof will be discussed in pre bid meeting and also will be posted on the Bank's website as per date given in Part II of this document or conveyed to the Bidders.
- 7.3 SBI reserves the right to amend, rescind or reissue the RFP, at any time prior to the deadline for submission of Bids. The Bank, for any reason, whether, on its own initiative or in response to a clarification requested by a prospective Bidder, may modify the bidding document, by amendment which will be made available to the Bidders by way of corrigenda/addenda. The interested parties/Bidders are advised to check the Bank's website regularly till the date of submission of Bid document specified in the schedule of events/email and ensure that clarifications / amendments issued by the Bank, if any, have been taken into consideration before submitting the Bid. Such amendments/clarifications, if any, issued by the Bank will be binding on the participating Bidders. Bank will not take any responsibility for any such omissions by the Bidder.
- 7.4 SBI, at its own discretion, may extend the deadline for submission of Bids in order to allow prospective Bidders a reasonable time to prepare the Bid, for taking the amendment into account. Nothing in this RFP or any addenda/ corrigenda or clarifications issued in connection thereto is intended to relieve Bidders from forming their own opinions and conclusions in respect of the matters addressed in

this RFP or any addenda/corrigenda or clarifications issued in connection thereto/thereof.

7.5 No request for change in commercial/legal terms and conditions, other than what has been mentioned in the RFP or any addenda/corrigenda or clarifications issued in connection thereto, will be entertained and queries in this regard, therefore will not be entertained.

7.6 Queries received after the scheduled date and time will not be responded/ acted upon.

8. Contents of bid documents:

8.1 The Bidder must thoroughly study/analyze and properly understand the contents of this RFP document, its meaning and impact of the information contained therein.

8.2 Failure to furnish all information required in the bidding document or submission of Bid not responsive to the bidding documents in any respect will be at the Bidder's risk and responsibility and the same may finally result in rejection of its Bid. SBI has made considerable effort to ensure that accurate information is contained in this RFP and is supplied solely as guidelines for Bidders.

8.3 Nothing in this RFP or any addenda/corrigenda or clarifications issued in connection thereto, is intended to relieve Bidders from forming their own opinions and conclusions in respect of the matters contained in RFP and its addenda, if any.

8.4 The Bid prepared by the Bidder, as well as all correspondences and documents relating to the Bid exchanged by the Bidder and the Bank and supporting documents and printed literature shall be submitted in English.

8.5 The information provided by the Bidders in response to this RFP will become the property of SBI and will not be returned. Incomplete information in Bid document may lead to non-consideration of the proposal.

9. Earnest Money Deposit (EMD):

9.1 The Bidder shall furnish EMD (i.e., Rs 25000/-) for the amount and validity period mentioned in Part II of this document.

9.2 EMD is required to protect the Bank against the risk of Bidder's conduct.

- 9.3 The EMD may be in the form of a Demand Draft or Pay Order issued by a Scheduled Commercial Bank in India, drawn in favour of State Bank of India payable at **Bhopal**
- 9.4 Any Bid not accompanied by EMD for the specified amount as mentioned elsewhere in the RFP will be rejected as non-responsive.
- 9.5 The EMD of the unsuccessful Bidders shall be returned within 2 weeks from the date of Bid finalization.
- 9.6 The EMD of successful Bidder will be retained as Initial deposit and will be kept along with the Performance Bank Guarantee till end of the contract period.
- 9.7 No interest is payable on EMD.
- 9.8 The EMD may be forfeited: -
- a. if a Bidder withdraws his Bid during the period of Bid validity specified in this RFP; or
 - b. if a Bidder makes any statement or encloses any form which turns out to be false / incorrect at any time prior to signing of Contract; or
 - c. if the successful Bidder fails to sign the contract or furnish Performance Bank Guarantee, within the specified time period in the RFP/Purchase Order.
- 9.9 If EMD is forfeited for any reasons mentioned above, the concerned Bidder may be debarred from participating in the RFPs floated by the Bank/this department, in future, as per sole discretion of the Bank.

10. Bid Preparation and submission:

The Bid is to be submitted in two separate envelopes.

10.1 One of the envelopes is to be prominently marked as, **Technical Proposal for physical segregation of Loan files /records/documents into various pre-defined folders/sets/buckets, Scanning and Digitization in response to RFP No. RFP/RE&RL BHO/ 2024-25/2 dated 11.03.2025.** This envelope should contain following documents and properly sealed:

(a) Bid covering letter/Bid form on the lines of **Annexure - A** on Bidder's letter head.

(b) Earnest Money Deposit (EMD) as specified in this document.

(c) A letter on Bidder's letter head: -

(i) Mentioning details of EMD submitted, technical competence and experience of the Bidder

(ii) Certifying that the period of the validity of the Bid is as per terms of this RFP.

(iii) Confirming that the Bidder has quoted for all the items/services mentioned in this RFP in their commercial Bid.

(iv) Confirming that they agree with all the terms and conditions mentioned in the RFP.

(v) Specific response with supporting documents in respect of Eligibility Criteria as mentioned in **Annexure-C (i) & C(ii)**.

(vi) Bidders to submit DD of Rs 25000/- as cost of tender along with technical bid. The amount is nonrefundable.

(d) Bidder's details as per **Annexure-F** on Bidder's letter head.

(e) Licensing details of operating software/firmware/hardware.

(f) Any deviations sought from technical criteria/specifications given in RFP.

(g) **Audited balance sheets and profit and loss account statement for last three years.**

(h) **A copy of board resolution or power of attorney showing that the signatory has been duly authorized to sign the Bid document.**

(j) Detailed explanation of functioning of Hardware/firmware/software/NAS Box.

10.2 The second sealed envelope prominently marked as **Indicative Price Proposal for physical segregation of Loan files /records/documents into various pre-defined folders/sets/buckets, Scanning and digitization, in response to the RFP No. RFP/RE&RL BHO/ 2024-25/2 dated 11.03.2025**. This envelope should contain only price Bid strictly on the lines of **Annexure-G**. The Indicative Price must include all the price components mentioned. Prices are to be quoted in Indian Rupees only.

10.3 Bidders may please note:

a. The Bidder should quote for the entire package on a single responsibility basis for infrastructure / hardware/software/NAS box / services it proposes to supply.

b. While submitting the Technical Bid, literature, if any, on the infrastructure / hardware and its associated operating software should be segregated and kept together in one section / lot in a separate envelope.

- c. Care should be taken that the Technical Bid shall not contain any price information. Such proposal, if received, will be rejected.
- d. The Bid document shall be complete in accordance with various clauses of the RFP document or any addenda/corrigenda or clarifications issued in connection thereto, duly signed by the authorized representative of the Bidder and stamped with the official stamp of the Bidder. Board resolution authorizing representative to Bid and make commitments on behalf of the Bidder is to be attached.
- e. Bank's decision on opening of bids and further processing of bids irrespective of minimum number of bids received in the tender, will be final and binding.
- f. Prices quoted by the Bidder shall remain fixed for the period specified in part II of this document and shall not be subjected to variation on any account, including exchange rate fluctuations and custom duty. **A Bid submitted with an adjustable price quotation will be treated as non- responsive and will be rejected.**
- g. If deemed necessary, the Bank may seek clarifications on any aspect from the Bidder. However, that would not entitle the Bidder to change or cause any change in the substances of the Bid already submitted or the price quoted.
- h. The Bidder may also be asked to give presentation for the purpose of clarification of the Bid.
- i. The Bidder must provide specific and factual replies to the points raised in the RFP.
- j. The Bid shall be typed or written in indelible ink and shall be signed by the Bidder or a person or persons duly authorized to bind the Bidder to the Contract.
- k. All the enclosures (Bid submission) shall be serially numbered with rubber stamp of the participating Bidder's company. The person or persons signing the Bids shall initial all pages of the Bids, except for un-amended printed literature.
- l. Any inter-lineation, erasures or overwriting shall be valid only if these are initialed by the person signing the Bids.
- m. All pages of the Bid document shall be properly numbered and bound.

- n. The Bank reserves the right to reject Bids not conforming to above.
- o. The two sealed NON-WINDOW envelopes, one containing the Technical Bid and the other containing Financial Bid shall be put together and sealed in an outer NON-WINDOW envelope.
- p. All the envelopes shall be addressed to the Bank and delivered at the address given in **Part-II** of this RFP and should have name and address of the Bidder on the cover.
- q. If the envelope is not sealed and marked, the Bank will assume no responsibility for the Bid's misplacement or its premature opening.

11. Deadline for Submission of Bids:

- a. Bids must be received by the Bank at the address specified and by the date and time mentioned in the "Schedule of Events".
- b. In the event of the specified date for submission of Bids being declared a holiday for the Bank, the Bids will be received upto the appointed time on the next working day.
- c. In case the Bank extends the scheduled date of submission of Bid document, the Bids shall be submitted by the time and date rescheduled. All rights and obligations of the Bank and Bidders will remain the same.
- d. Any Bid received after the deadline for submission of Bids prescribed, will be rejected and returned unopened to the Bidder.

12. Modification and Withdrawal of Bids:

- a. The Bidder may modify or withdraw its Bid after the Bid's submission, provided that written notice of the modification, including substitution or withdrawal of the Bids, is received by the Bank, prior to the deadline prescribed for submission of Bids.
- b. A withdrawal notice may also be sent by Fax / e-mailing scanned copy, but followed by a signed confirmation copy, not later than the deadline for submission of Bids.
- c. No modification in the Bid shall be allowed, after the deadline for submission of

Bids.

- d. No Bid shall be withdrawn in the interval between the deadline for submission of Bids and the expiration of the period of Bid validity specified by the Bidder on the Bid Form. Withdrawal of a Bid during this interval may result in the forfeiture of EMD submitted by the bidder.
- e. Withdrawn Bids, if any, will be returned unopened to the Bidders.

13. Period of Validity of Bids:

- a. **Bids shall remain valid 6 months. A Bid valid for a shorter period is liable to be rejected by the Bank as non-responsive.**
- b. In exceptional circumstances, the Bank may solicit the Bidders' consent to an extension of the period of validity. The request and the responses thereto shall be made in writing. A Bidder is free to refuse the request. However, in such case, the Bank will not forfeit its EMD. However, any extension of validity of Bids will not entitle the Bidder to revise/modify the Bid document.
- c. The Bank reserves the right to call for fresh quotes at any time during the Bid validity period, if considered, necessary.

14. Bid Security

Willful misrepresentation of any fact within the Bid will lead to the cancellation of the contract without prejudice to other actions that the Bank may take. All the submissions, including any accompanying documents, will become property of SBI. The Bidders shall be deemed to license, and grant all rights to SBI, to reproduce the whole or any portion of their product for the purpose of evaluation, to disclose the contents of submission to other Bidders and to disclose and/ or use the contents of submission as the basis for RFP process.

15. Bidding process/Opening of Technical Bids:

- a) All the technical Bids received up to the specified time and date will be opened for initial evaluation on the time and date mentioned in the schedule of events available in part II of this document. The technical Bids will be opened in the presence of representatives of the Bidders who choose to attend the same. However, Bids may be opened even in the absence of representatives of one or more of the Bidders.

- b) In the first stage, only technical Bid will be opened and evaluated. Proposals of such Bidders satisfying eligibility criteria and agree to comply with all the terms and conditions specified in the RFP, will be evaluated for technical criteria/specifications/eligibility. Only those Bids complied with technical criteria shall become eligible for commercial Bid opening and further RFP evaluation process.
- c) The Bank will examine the Bids to determine whether they are complete, required formats have been furnished, the documents have been properly signed, EMD for the desired amount and validity period is available and the Bids are generally in order. The Bank may, at its discretion waive any minor non-conformity or irregularity in a Bid which does not constitute a material deviation.
- d) Prior to the detailed evaluation, the Bank will determine the responsiveness of each Bid to the bidding document. For purposes of these Clauses, a responsive Bid is one, which conforms to all the terms and conditions of the bidding document in Toto, without any deviation.
- e) The Bank's determination of a Bid's responsiveness will be based on the contents of the Bid itself, without recourse to extrinsic evidence.
- f) After opening of the technical Bids and preliminary evaluation, some or all the Bidders may be asked at Bank's discretion, to make presentations on the infrastructure facilities, hardware, operating software/firmware proposed to be offered by them.
- g) If a Bid is not responsive, it will be rejected by the Bank and will not subsequently be made responsive by the Bidder by correction of the non-conformity.

16. Technical Evaluation:

- (i) Technical evaluation of only those bids will be carried out which are prima facie found to be responsive and where all the required papers and EMD, Affidavits etc. have been furnished. Before technical evaluation, the bank will examine the bids to determine whether they are complete, whether any computational errors have been made, whether required information have been provided as underlined in the bid document, whether the documents have

been properly signed, and whether bids are generally in order. The bank will reject the bid determined as not substantially responsive.

- (ii) Technical evaluation will include technical information submitted as per technical Bid format, demonstration of proposed product/services, reference calls and site visits, wherever required. The Bidder may highlight the noteworthy/superior features of their product/services. The Bidder will, at Bank's discretion, demonstrate/substantiate all claims made in the technical Bid to the satisfaction of the Bank, the capability of the product/services to support all the required functionalities at their cost in their workplace/sites or those at other organizations where similar product/services are in use.
- (iii). The Bank reserves the right to evaluate the Bids on technical & functional parameters including factory/workplace visit and witness demos of the system and verify functionalities, response times, etc.
- (iv) Bank will evaluate the technical and functional specifications, including the fulfillment of eligibility criteria as laid down in the RFP **(Para- 5)**
- (v) During evaluation and comparison of Bids, the Bank may, at its discretion ask the Bidders for clarification on the Bids received. The request for clarifications shall be in writing and no change in prices or substance of the Bid shall be sought, offered or permitted. No post Bid clarification at the initiative of the Bidder shall be entertained.

17. Technical Bid Evaluation Criteria:

As given in **Annexure-C (ii)** of this document.

18. Contacting the Bank:

- i. No Bidder shall contact the Bank on any matter relating to its Bid, from the time of opening of Price Bid to the time, the Contract is awarded.
- ii. Any effort by a Bidder to influence the Bank in its decisions on Bid evaluation, Bid comparison or contract award may result in the rejection of the Bidder's Bid.

19. Award Criteria:

- i. Bank will notify successful Bidders in writing by letter or fax/email that its Bid has been accepted. The Selected Bidder has to return the duplicate copy of the same to the Bank within 3 working days, duly Accepted, Stamped and Signed by Authorized Signatory in token of acceptance.
- ii. The successful Bidders will have to submit Non-disclosure Agreement (**Annexure-H**) and Performance Bank Guarantee for the amount and validity as desired in part II and strictly on the lines of format given at **Annexure-E** of this document together with acceptance of all terms and conditions of RFP.
- iii. Copy of board resolution or power of attorney showing that the signatory has been duly authorized to sign the acceptance letter, contract and NDA should be submitted.
- iv. The notification of award will constitute the formation of the Contract.
- v. The successful Bidder(s) shall be required to enter into a contract/ SLA with the Bank, within 15 days of award of the tender or within such extended period as may be decided by the Bank.
- vi. Until the execution of a formal contract, the Bid document, together with the Bank's notification of award and the vendor's acceptance thereof, would constitute a binding contract between the Bank and the successful Bidder.
- vii. The contract/ agreement will be based on Bidder(s)' offer document with all its enclosures, modifications arising out of negotiation /clarifications etc. and will include SLA, project plan–phases & milestones and schedule, copies of all necessary documents, licenses, certifications etc.
- viii. The Bank reserves the right to stipulate, at the time of finalization of the contract, any other document(s) to be enclosed as a part of the final contract.
- ix. Failure of the successful Bidder(s) to comply with the requirements/terms and conditions of this RFP shall constitute sufficient grounds for the annulment of the award and forfeiture of the EMD and/or PBG.
- x. Upon notification of award to the successful Bidder(s), the Bank will promptly

notify the award of contract to the successful Bidder (s) on the Bank website. The EMD of each unsuccessful Bidder will be discharged and returned. Bank may award contract to one or more bidders who are ready to work at L-1 rates.

- xi. If L1 fails to take up the project in one month time from SLA date, Bank may impose penalty as per penalty clause Annexure K(i) and K(ii) , invoke PBG in addition to cancellation of contract awarded. Bank decision in this regard will be final and binding on all parties.
- xii. In **Annexure-G** (Commercial Bid Format) if there is discrepancy in the unit price quoted in words and figures, the unit price quoted in words, shall be taken as correct and final
- xiii. Bank reserve the right to reject any or all the bidders in technical as well as commercial bid.

20. Powers to Vary or Omit Work:

- i. No alterations, amendments, omissions, additions, suspensions or variations of the work (hereinafter referred to as variation) under the contract shall be made by the successful Bidder(s) except as directed in writing by Bank. The Bank shall have full powers, subject to the provision herein after contained, from time to time during the execution of the contract, by notice in writing to instruct the successful Bidder(s) to make any variation without prejudice to the contract. The finally selected Bidder(s) shall carry out such variation and be bound by the same conditions as far as applicable as though the said variations occurred in the contract documents. If any, suggested variations would, in the opinion of the finally selected Bidder(s), if carried out, prevent him from fulfilling any of his obligations under the contract, he shall notify Bank thereof in writing with reasons for holding such opinion and Bank shall instruct the successful Bidder(s) to make such other modified variation without prejudice to the contract. The finally selected Bidder(s) shall carry out such variation and be bound by the same conditions as far as applicable as though the said variations occurred in the contract documents. If the Bank confirms its instructions, the successful Bidder(s) obligations shall be modified to such an extent as may be mutually agreed, if such variation is substantial and involves considerable extra cost. Any agreed difference in cost occasioned by such variation shall be added to or deducted from the contract price as the case may be.
- ii. In any case in which the successful Bidder(s) has received instructions from the Bank as to the requirements for carrying out the altered or additional substituted

work which either then or later on, will in the opinion of the finally selected Bidders, involve a claim for additional payments, such additional payments shall be mutually agreed in line with the terms and conditions of the order.

- iii. If any change in the work is likely to result in reduction in cost, the parties shall agree in writing so as to the extent of change in contract price, before the finally selected Bidder(s) proceeds with the change. In all the above cases, in the event of a disagreement as to the reasonableness of the said sum, the decision of the Bank shall prevail.

21. No Waiver of Bank's Rights or Successful Bidder's Liability:

Neither any sign-off, nor any payment by the Bank for acceptance of the whole or any part of the work, nor any extension of time, nor any possession taken by the Bank shall affect or prejudice the rights of Bank against the finally selected Bidder(s), or relieve the finally selected Bidder(s) of his obligations for the due performance of the contract, or be interpreted as approval of the work done, or create liability in the Bank to pay for alterations/ amendments/ variations, or discharge the liability of the successful Bidder(s) for the payment of damages whether due, ascertained, or certified or not or any sum against the payment of which he is bound to indemnify the Bank nor shall any such certificate nor the acceptance by him of any such amount paid on account or otherwise affect or prejudice the rights of the successful Bidder against Bank.

22. Change in Orders:

- i. The Bank may, at any time, by a written order given to the Vendor, make changes within the general scope of the Contract in any manner.

- ii. If any such change causes an increase or decrease in the cost of, or the time required for the Vendor's performance of any provisions under the Contract, an equitable adjustment shall be made in the Contract Price or delivery schedule, or both, and the Contract shall accordingly be amended. Any claims by the Vendor for adjustment under this clause must be asserted within 15 days from the date of Vendor's receipt of Bank's change order.

23. Contract Amendments:

No variation in or modification of the terms of the Contract shall be made, except by written amendment, signed by all the parties.

24. Bank's Right to Accept Any Bid and to Reject Any or All Bids:

The Bank reserves the right to accept or reject any Bid in part or in full or to cancel the bidding process and reject all Bids at any time prior to contract award, without incurring any liability to the affected Bidder or Bidders or any obligation to inform the affected Bidder or Bidders of the grounds for the Bank's action.

25. Documentary Evidence Establishing Bidder's Eligibility and Qualifications:

On acceptance of the Bid by the Bank, the Bidder needs to submit the undertaking of authenticity along with documentary evidence of their eligibility/qualifications to perform the Contract to the Bank's satisfaction: 'that adequate, specialized expertise are available with the Bidder to ensure that the services are responsive and the Bidder will assume total responsibility for the fault-free operation of the product proposed and maintenance thereof during the contract period'.

26. Performance Bank Guarantee:

(i) Performance Bank Guarantee [PBG] of the amount with validity period specified in Part II of this RFP strictly on the format at **Annexure-E** is to be submitted by the finally selected Bidder. The PBG has to be issued by a Scheduled Commercial Bank other than SBI and needs to be submitted within the specified time of receipt of formal communication from the Bank about their Bid finally selected. **PBG will be furnished for 10% of the amount of work order given by the Circle.** Work Order will be released only after receipt of the Performance Bank Guarantee. In case, SBI is the sole Banker for the Bidder, a Letter of Comfort from SBI may be accepted.

ii) The PBG is required to protect the interest of the Bank against delay in supply/installation and or the risk of unsuccessful implementation of the project, or performance of the material or services sold, which may warrant invoking of PBG. In case any act of the bidder results in imposition of Liquidated Damages then also the Bank reserves the right to invoke the PBG.

27. Compliance with IS Security Policy and others:

The Vendor shall have to comply with Bank's IT & IS Security policy in key concern areas relevant to the RFP, details of which will be shared with the finally selected Bidder. Some of the key areas are as under:

- i. Responsibilities for data and application privacy and confidentiality.
- ii. Provisions of the DPDP Act as and when made enforceable.
- iii. Responsibilities on system and software access control and administration.
- iv. Custodial responsibilities for data, software, hardware and other assets of the

- Bank being managed by or assigned to the Vendor
- v. Physical Security of the facilities
 - vi. Physical and logical separation from other customers of the Vendor
 - vii. Incident response and reporting procedures
 - viii. Password Policy of the Bank
 - ix. Ensuring of proper handling and return of Security documents /title documents of immovable properties to RACPC/RASMEC/RACC/Branches/other offices
 - x. Data Encryption/Protection requirements of the Bank.
 - xi. In general, confidentiality, integrity and availability must be ensured.

28. Penalty Clause

As mentioned in **Annexure K (i) & K(ii)** of this document.

29. Right to Verification:

The Bank reserves the right to verify any or all of the statements made by the Bidder in the tender document and to inspect the Bidder's facility, if necessary, to establish to its satisfaction about the Bidder's capacity/capabilities to perform the job.

30. Cost of Services:

- i. Total cost of services (taking into account costing of infrastructure/hardware equipment's/components/ software) with support would be the Total Price to be quoted in commercial Bid as per the format given (**Annexure-G**).
- ii. The order will be placed for end to end complete services as per **Annexure D**
- iii. The applicable TDS will be deducted at the time of payment of invoices.
- iv. Terms of payment are given in Part-II of this RFP document.
- v. Prices payable to the Vendor as stated in the Contract shall be firm and not subject to adjustment during performance of the Contract, irrespective of reasons whatsoever, including exchange rate fluctuations, any upward revision in duties, charges, etc.
- vi. The Bidder will pass on to the Bank, all fiscal benefits arising out of reductions, if any, in Government levies viz; sales tax, excise duty, custom duty, etc. or the benefit of discounts, if any, announced in respect of the cost of the items for which orders have been placed during that period.

31. Inspection and Quality Control Tests

- i. The Bank reserves the right to carry out inspection by a team of Bank officials or as and when demand a demonstration any time during **physical segregation of loan files/documents**, scanning and digitization project.

- ii. The Vendor shall intimate the Bank before commencing the work of installation of infrastructure at the designated location. Successful conduct and conclusion of installation / operationalization of **physical segregation of loan files/documents**, scanning and digitization shall be the sole responsibility of the bidder.
- iii. Provided that the Bank may, at its sole discretion, waive inspection of facility of bidder having regard to the value of the order and/or the nature of the work/goods and/or any other such basis as may be decided at the sole discretion of the Bank meriting waiver of such inspection.
- iv. In the event of the product/infrastructure failing to pass the acceptance test by the Bank, as per the specifications given, a period not exceeding two weeks will be given to rectify the defects and clear the acceptance test, failing which, the Bank reserves the right to cancel the work Order.
- v. Nothing stated herein above shall in any way release the bidder from any warranty or other obligations under this Contract.

32. Right to Audit:

- i) The Selected Bidder (Service Provider) may have to get itself audited by Bank officials / internal / external empaneled Auditors appointed by the Bank / inspecting official from the Reserve Bank of India or any regulatory authority, covering the risk parameters finalized by the Bank. The Bank can make its expert assessment on the efficiency and effectiveness of the security, control, risk management, governance system and process created by the Service Provider. The Service Provider shall, whenever required by the Auditors, furnish all relevant information, records/data to them.
- ii) Where any deficiency has been observed during audit of the Service Provider on the risk parameters finalized by the Bank or in the certification submitted by the Auditors, the Service Provider shall correct/resolve the same at the earliest and shall provide all necessary documents related to resolution thereof and the auditor shall further certify in respect of resolution of the deficiencies. The resolution provided by the Service Provider shall require to be certified by the Auditors covering the respective risk parameters against which such deficiencies have been observed. Cost of such correction if any to be incurred by the vendor.
- iii) Service Provider shall, whenever required by the Bank, furnish all relevant information, records/data to such auditors and/or inspecting officials of the Bank/Reserve Bank of India and or any regulatory authority. The Bank reserves the right to call and/or retain for any relevant material information /reports including audit or review reports undertaken by the service provider (e.g., financial, internal control and security reviews) and findings made on Selected Bidder in conjunction with the services provided to the Bank.

- iv). The Bank reserve the right to audit the bidder by its internal auditors from Internal Audit Department or any outside agencies as decided by the Bank. Cost of external auditor if appointed by Bank will be borne by the Bank.

33. Subcontracting:

As per scope of the RFP, subcontracting is prohibited. In view of the confidential and sensitive nature of documents, the sub-contracting will not be permitted under any circumstances. If the vendor is found to be sub-contracting, at any stage, the Bank will cancel the contract and forfeit the Performance Bank Guarantee besides taking other steps, as deemed fit by the Bank.

34. Insurance:

- i. All the infrastructure created by the vendor at the site shall have to be insured by the vendor at his own cost to cover all the required risks. Bank will obtain insurance for records/documents etc. and the building at its own cost.
- ii. Should any loss or damage occur, to vendor's infrastructure, the Vendor shall:
 - a. initiate and pursue claim till settlement and
 - b. Promptly make arrangements for repair and / or replacement of any damaged item to the satisfaction of the Bank, irrespective of settlement of claim by the underwriters.
- iii. Vendor to take adequate insurance for compensating Bank, the loss (sorting, physical segregation of documents/loan files, storing or in scanning) suffered due to deficiencies in services either by the vendor or its employees. Further during the course of scanning of the document, if any document is damaged than the service provider shall be liable.

35. Validity of Agreement:

The Agreement/SLA will be valid for a period of 3 years from the date of commencement of SLA and the rate agreed with an option to extend the SLA for further period 3 years on mutually agreed terms and conditions. Bank's decision in case of any dispute / non-agreement, will be final and binding to all parties' concern.

36. Confidentiality:

- i. Bidder acknowledges and agrees that all tangible and intangible information obtained, developed or disclosed including all documents, contract, purchase order to be issued, data papers and statements and trade secret of the Bank relating to its business practices and their competitive position in the market place provided to the selected Bidder by the Bank in connection with the performance of obligations of Bidder under the purchase order to be issued, in part or complete shall be considered to be confidential and proprietary information (“Confidential Information”) and shall not be disclosed to any third party/published without the written approval of the Bank.
- ii. The Confidential Information will be safeguarded, and Bidder will take all the necessary action to protect it against misuse, loss, destruction, alterations or deletions thereof. In the event of a breach or threatened breach by Bidder of this section, monetary damages may not be an adequate remedy; therefore, Bank shall be entitled to injunctive relief to restrain Bidder from any such breach, threatened or actual.
- iii. Any document, other than the Contract itself, shall remain the property of the Bank and shall be returned (in all copies) to the Bank on completion of the Vendor’s performance under the Contract, if so, required by the Bank.
- iv. The confidential information may be disclosed to /shared with the employee of the service provider strictly on need to know basis. The service provider however shall ensure that the confidential information of the Bank is duly protected during the service and also after resignation / termination of such employee

37. Delay in the Vendor’s Performance:

- i. Delivery installation, commissioning of the Products/Solution and performance of Services shall be made by the Vendor within one month of signing of agreement.
- ii. Any delay in performing the obligation/ defect in performance by the vendor may result in imposition of penalty, liquidated damages, invocation of Performance Bank Guarantee and/or termination of contract (as laid down elsewhere in this RFP document).
- iii. In case the vendor is not able to complete the job within given timeline and/or the vendor’s services are not found to the satisfaction of the Bank after start of operation , the Bank will have right to take appropriate steps as per this document (including forfeiture of materials already installed in case of premises provided by the Bank).

- iv. Penalty will be imposed on the service provider for delay in starting the project as well as failure to meet monthly **physical segregation of loan files/documents**, scanning and digitization target as per the Annexure – K(i) & K(ii)

38. Vendor's obligations:

- i. The Vendor is responsible for and obliged to conduct all contracted activities in accordance with the contract using latest technology, methods and economic principles and exercising all means available to achieve the performance specified in the Contract.
- ii. The Vendor is obliged to work closely with the Bank's staff, act within its own authority and abide by directives issued by the Bank from time to time and complete implementation activities.
- iii. The Vendor will abide by the job safety measures prevalent in India and will free the Bank from all demands or responsibilities arising from accidents or loss of life, the cause of which is the Vendor's negligence. The Vendor will pay all indemnities arising from such incidents and will not hold the Bank responsible or obligated.
- iv. The Vendor is responsible for managing the activities of its personnel and will hold itself responsible for any misdemeanors.
- v. The Vendor shall treat as confidential all data and information about SBI, obtained in the process of executing its responsibilities, in strict confidence and will not reveal such information to any other party without prior written approval of the Bank as explained under "Non-Disclosure Agreement" in **Annexure-H** of this document.
- vi. Service provider will take Fidelity Insurance to protect Bank against any loss (fraud and or reputation loss) to Bank due to data misuse / pilferage or any act of its employee (s).
- vii. Service provider will provide a list of employees engaged in Job work allotted by Bank at the beginning of the project and also keep Bank updated whenever there is any change in employees. Service provider will also provide KYC details and police verification report of all such employees to the Bank for record purpose.
- viii. The vendor will issue Photo ID cards to all the employees deployed at the DAC /RACPC/RASMEC/RACC/branches/other offices where Bank's records are to be

stored. In addition to photo ID card, Bank may require KYC details of employees engaged at RACPC/RASMEC/RACPC/RACC/Branch/Other offices /DAC where Bank's records stored/are to be stored.

- ix. The vendor employees will be under CCTV surveillance and may be checked and frisked at the entrance and prohibited from carrying any data coping implement on him while he is at work and this will be suitably intimated to the employee by the vendor.
- x. The operation of the bidder in Pakistan or China, if any, should be suitably firewalled from the contact / operations with the Bank. And shall also declare that no employee who has previously or been posted in Pakistan or China in any capacity is engaged by the company for this project and that no Pakistan national or person of Pakistan origin should be engaged by the service provider for the project. Further the company should not post an employee who has worked in India operations in Pakistan or China.

39. Technical Documentation:

The Vendor should also provide the MIS reports as per requirements of the Bank. Any level/ version changes and/or clarification or corrections or modifications in the above-mentioned documentation should be supplied by the Vendor to the Bank, free of cost in timely manner. The vendor shall develop customized documentation as per Bank's requirement, if desired by the Bank.

40. Patent Rights/Intellectual Property Rights:

- i. For any licensed software/hardware/firmware used by the finally selected Vendor (s) for performing services, the Vendor(s) shall have the right as well as the right to license for the outsourced services. The vendor shall, if applicable, furnish a photocopy of the Agreement with their Principals/OEM in respect of „Product“ and services offered. Any license or IPR violation on the part of Vendor should not put the Bank at risk. The Bank reserves the right to audit the license usage of the Vendor.
- ii. The Vendor shall, at its own expenses without any limitation, defend and indemnify the Bank against all third party claims or infringements of intellectual Property Rights including patent, trademark, copyright, trade secret or industrial design rights arising from use of the products or any part thereof in India or abroad. In case of violation/ infringement of patent/ trademark/ copyright/ trade secrete or industrial design, the supplier/vendor shall after due inspection and testing get the solution redesigned for the Bank, at no extra cost.

- iii. The Vendor shall expeditiously extinguish any such claims and shall have full rights to defend itself therefrom. If the Bank is required to pay compensation to a third party resulting from such infringement(s), the Vendor shall be fully responsible therefore, including all expenses and court and legal fees.
- iv. The Bank will give notice to the Vendor of any such claim without delay, provide reasonable assistance to the vendor in disposing of the claim, and shall at no time admit to any liability for or express any intent to settle the claim.

41. Liquidated Damages:

If the Vendor fails to deliver any or all of the products or perform the services within the stipulated time schedule, as specified in the Contract, as desired in this RFP/ Contract, the Bank may, without prejudice to its other remedies under the Contract, and unless otherwise extension of time is agreed upon without the application of Liquidated Damages, deduct from the Contract Price, as liquidated damages mentioned at Part II (Schedule of Events, S. No. 17). Once the maximum deduction is reached, the Bank may consider termination of the Contract.

42. Conflict of Interest:

Bidder shall not have a conflict of interest (the “Conflict of Interest”) that affects the bidding process. Any Bidder found to have a Conflict of Interest shall be disqualified. In the event of disqualification, the Bank shall be entitled to forfeit and appropriate the Bid Security/EMD and/or Performance Security (Performance Bank Guarantee), as the case may be, as mutually agreed upon genuine estimated loss and damage likely to be suffered and incurred by the Bank and not by way of penalty for, inter alia, the time, cost and effort of the Bank, including consideration of such Bidder’s proposal (the “Damages”), without prejudice to any other right or remedy that may be available to the Bank under the bidding documents and/ or the contract or otherwise.

43. Fraud & Corrupt Practices:

- i. The Bidder and their respective officers, employees, agents and advisers shall observe the highest standard of ethics during the bidding process. Notwithstanding anything to the contrary contained herein, the Bank shall reject an Application without being liable in any manner whatsoever to the Bidder if it determines that the Bidder has, directly or indirectly or through an agent, engaged in corrupt/fraudulent/coercive/undesirable or restrictive practices in the bidding process.

- ii. Without prejudice to the rights of the Bank under Clause 44 (i) hereinabove, if a Bidder is found by the Bank to have directly or indirectly or through an agent, engaged or indulged in any corrupt/fraudulent/coercive/undesirable or restrictive practices during the bidding process, such Bidder shall not be eligible to participate in any EOI/RFP issued by the Bank during a period of 5 (five) years from the date if such Bidder is found by the Bank to have directly or indirectly or through an agent, engaged or indulged in any corrupt/ fraudulent/ coercive/ undesirable or restrictive practices, as the case may be.
- iii. For the purposes of this Clause, the following terms shall have the meaning hereinafter, respectively assigned to them:
 - (a) **“Corrupt practice”** means offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence the actions of any person connected with the bidding process
 - (b) **“Fraudulent practice”** means a misrepresentation or omission of facts or suppression of facts or disclosure of incomplete facts, in order to influence the bidding process
 - (c) **“Coercive practice”** means impairing or harming or threatening to impair or harm, directly or indirectly, any person or property to influence any person’s participation or action in the bidding process;
 - (d) **“Undesirable practice”** means (i) establishing contact with any person connected with or employed or engaged by the Bank with the objective of canvassing, lobbying or in any manner influencing or attempting to influence the bidding process; or (ii) having a Conflict of Interest; and
 - (e) **Restrictive practice:** means forming a cartel or arriving at any understanding or arrangement among Bidders with the objective of restricting or manipulating a full and fair competition in the Bidding Process.

44. Termination:

- i. The Bank, without prejudice to any other remedy for breach of Contract, by a written notice of not less than 30 (thirty) days sent to the Vendor, may terminate the Contract in whole or in part:
 - a. If the Vendor fails to deliver any or all of the Products and Services within the period(s) specified in the Contract, or within any extension thereof granted by the Bank; or
 - b. If the vendor fails to perform any other obligation(s) under the contract; or
 - c. Laxity in adherence to standards laid down by the Bank; or
 - d. Discrepancies/deviations in the agreed processes and/or products; or
 - e. Violations of terms and conditions stipulated in this RFP.

- f. For inconvenience or in the interest of the Bank.

- ii. In the event the Bank terminates the Contract in whole or in part for the breaches attributable to the Vendor, the Bank may procure, upon such terms and in such manner as it deems appropriate, Products and Services similar to those undelivered, and the Vendor shall be liable to the Bank for any increase in cost for such similar Products and/or Services. However, the Vendor shall continue performance of the Contract to the extent not terminated.

- iii. If the contract is terminated under any termination clause, the vendor shall handover all documents/ executable/ Bank's data or any other relevant information to the Bank in timely manner and in proper format as per scope of this RFP and shall also support the orderly transition to another vendor or to the Bank.

- iv. During the transition, the vendor shall also support the Bank on technical queries/support on process implementation or in case of software provision for future upgrades.

- v. The Bank's right to terminate the Contract will be in addition to the penalties /liquidated damages and other actions as deemed fit. Further, Bank may terminate the contract without any prior written notice if it is found that the service provider is involved in fraud/corrupt practices.

45. Force Majeure:

- i. Notwithstanding the provisions of terms and conditions contained in this RFP, the Vendor shall not be liable for forfeiture of its performance security, liquidated damages, or termination for default, if any, and to the extent that the delay in performance or other failure to perform its obligations under the Contract is the result of an event of Force Majeure.
- ii. For the purposes of this clause, 'Force Majeure' means and includes wars, insurrections, revolution, civil disturbance, riots, terrorist acts, public strikes, hartal, bandh, fires, floods, epidemic, quarantine restrictions, freight embargoes, declared general strikes in relevant industries, Vis Major Act of Government, impeding reasonable performance of the Vendor and / or Sub-Contractor but does not include any foreseeable events, commercial considerations or those involving fault or negligence on the part of the party claiming Force Majeure.
- iii. If a Force Majeure situation arises, the Vendor shall promptly notify the Bank in writing of such condition and the cause thereof. Unless otherwise directed by the Bank in writing, the Vendor shall continue to perform its obligations under the

Contract as far as is reasonably practical and shall seek all reasonable alternative means for performance not prevented by the Force Majeure event. Further, in case of any dispute if the event is Force Majeure event or not, the decision of the bank shall be final.

46. Termination for Insolvency:

The Bank may, at any time, terminate the Contract by giving written notice to the Vendor, if the Vendor becomes Bankrupt or insolvent or any application for bankruptcy, insolvency or winding up has been filed against it by any person. In this event, termination will be without compensation to the Vendor, provided that such termination will not prejudice or affect any right of action or remedy, which has accrued or will accrue thereafter to the Bank.

47. Governing Language:

The governing language shall be English.

48. Applicable Law:

The Contract shall be interpreted in accordance with the laws of the Union of India and shall be subjected to the exclusive jurisdiction of courts at LHO Bhopal Centre.

49. Taxes and Duties:

- a. The Vendor shall be liable to pay all corporate taxes and income tax that shall be levied according to the laws and regulations applicable from time to time in India and the price Bid by the Vendor shall include all such taxes in the contract price.
- b. Prices quoted should be excluding Taxes.
- c. Prices payable to the Vendor as stated in the Contract shall be firm and not subject to adjustment during performance of the Contract, irrespective of reasons whatsoever, including exchange rate fluctuations, any upward revision in Custom duty. The Bidder will pass on to the Bank, all fiscal benefits arising out of reductions, if any, in Government levies viz. custom duty or the benefit of discounts if any announced in respect of the cost of the items for which orders have been placed during that period.
- d. Income / Corporate Taxes in India: The Bidder shall be liable to pay all corporate taxes and income tax that shall be levied according to the laws and regulations applicable from time to time in India and the price Bid by the Bidder shall include all such taxes in the contract price.

- e. All expenses, stamp duty and other charges/ expenses in connection with the execution of the Agreement as a result of this RFP process shall be borne by the Vendor.

50. Tax deduction at Source:

- i. Wherever the laws and regulations require deduction of such taxes at the source of payment, the Bank shall effect such deductions from the payment due to the Vendor. The remittance of amounts so deducted and issuance of certificate for such deductions shall be made by the Bank as per the laws and regulations for the time being in force. Nothing in the Contract shall relieve the Vendor from his responsibility to pay any tax that may be levied in India on income and profits made by the Vendor in respect of this contract.
- ii. The Vendor's staff, personnel and labour will be liable to pay personal income taxes in India in respect of such of their salaries and wages as are chargeable under the laws and regulations for the time being in force, and the Vendor shall perform such duties in regard to such deductions thereof as may be imposed on him by such laws and regulations.

51. Right to use defective product:

If after delivery, acceptance and installation and within the warranty period, the operation or the product (scanned images) /services is found to be unsatisfactory, the Bank shall have the right to continue to operate or use such product (scanned images)/services until rectification of defects, errors or omissions by partial or complete replacement is made without interfering with the Bank's operation.

52. Notices:

Any notice given by one party to the other pursuant to this contract shall be sent to other party in writing or by Fax / e-mail and confirmed in writing to other Party's address. The notice shall be effective when delivered or on the notice's effective date whichever is later.

53. Termination for Convenience:

The Bank, by written notice of not less than 30 (Thirty) days sent to the Vendor, may terminate the Contract, in whole or in part, at any time for its convenience. The notice of termination shall specify that termination is for the Bank's convenience, the extent to which performance of the Vendor under the Contract is terminated, and the date upon which such termination becomes effective.

PART - II

SCHEDULE OF EVENTS		
Sr No	Particulars	Remarks
1	Contact details of issuing Authorities:	For EMPANELMENT OF VENDORS / SERVICE PROVIDERS FOR MADHYA PRADESH AND CHHATISGARH STATES UNDER BHOPAL CIRCLE Real Estate and Retail Loans Business Unit, State Bank of India, Local Head Office , First Floor, Local Head Office, Narmadapurm Road, Bhopal – 462011. e-mail: dgmrrerl.lhobho@sbi.co.in
2	Bid Document Availability including changes / amendments, if any to be issued	RFP may be downloaded from Bank's website bank.sbi and https://etender.sbi from 11:00 AM on 11.03.2025 to 5:00 PM on 05.04.2025 Clarification / Addenda / Corrigenda will be published in Bank's web site bank.sbi only.
3	Last date and time for receipt of queries and clarifications (over e-mail only to dgmrrerl.lhobho@sbi.co.in)	Upto 5.00 PM on 05.04.2025 All Clarification / Addendum / Corrigendum will be published in Bank's web site bank.sbi) only
4	Last date and time for Bid submission .	05.04.2025, 5:00 PM
6	Address for submission of Bids	Submitted online through https://etender.sbi . Bidders have to be registered at https://etender.sbi . After registration unique user id will be provided to the bidders. With this unique user id, the bidders can submit the details on https://etender.sbi .

		Real Estate and Retail Loans Business Unit, State Bank of India, Local Head Office , First Floor, Local Head Office, Narmadapurm Road, Bhopal – 462011. e-mail: dgmrerl.lhobho@sbi.co.in
7.	Date and Time of opening of Technical Bids (Tentative)	The tenderers can view the Tender opening details through their respective log in Ids on the above-mentioned https://etender.sbi .
8.	Reverse Auction Date (Tentative)	25.04.2025
9	Earnest Money Deposit	As per pre-qualification Table in Annexure C1 To be submitted in the form of Bank Draft from a schedule commercial Bank payable at Bhopal
10	Initial Deposit	Nil
11	Performance Bank Guarantee	As per pre-qualification Table in Annexure C1 PBG will be furnished for 10% of the amount of work order given by the Circle. PBG will be Valid for 3 years from the date of Handing over and extendable till validity of contract. PBG has to be issued by a Scheduled Commercial Bank other than State Bank of India.
12	Price validity from the date of price discovery	3 years
13	Delivery schedule	Facility to be created and operationalized within 1 months from the date of signing of agreement. (An affidavit as in Annexure-J is to be submitted in this regard)
14	Terms of payment	Invoices to be raised every month for the previous month for the completed job cycle (sorting, Segregating of Loan files/associated enclosures/records /Scanning – Indexing— and storing the digitized documents in 9 folders). Payment to be made by the Bank

		within 21 days of receipt of invoices, after deducting taxes and penalty if any .
15	Delivery locations	<p>Deputy General Manager Real Estate and Retail Loans Business Unit, State Bank of India, Local Head Office , First Floor, Local Head Office, Narmadapurm Road, Bhopal – 462011. e-mail: dgmrerl.lhobho@sbi.co.in</p> <p>b) For Place of scanning and digitization at various locations across the country as per Annexure B or additional centers as advised subsequently.</p>
17	Liquidated damages	<p>If Service Provider fails to start work within one months of executing SLA or fails to perform any or all the Services within the stipulated time, the Bank may, deduct from the Project Cost, as liquidated damages, a sum equivalent to 5% of the total Project Cost for delay of each week or part thereof maximum up to Rs 10 Lacs. Once the maximum is reached, the Bank may consider termination of Agreement. Further Bank may cancel the order and invoke PBG in addition to charging liquidated damages.</p>

ANNEXURES

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Annexure – C (i)	Bidder's Eligibility criteria
Annexure – C (ii)	Technical Bid Evaluation Criteria
Annexure – D	Scope of work and Standard Operating Procedure
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Annexure-A

BID FORM (TECHNICAL BID)

[On Company's letter head]

(to be included in Technical Bid Envelope)

Date: _____

To:

The Deputy General Manager

State Bank of India,

REHBU

Local Head Office,

.....

Address.....

Dear Sir,

Ref: RFP No.SBI:xx:xxdated dd/mm/yyyy ~~~~~

We have examined the above RFP, the receipt of which is hereby duly acknowledged and subsequent pre-Bid clarifications/ modifications / revisions, if any, furnished by the Bank and we offer the service of **physical sorting/segregation of Loan files /records/documents into various pre-defined folders/sets/buckets**, scanning and digitization , and store into color coded folders provided by the Bank as detailed in this RFP.

2. While submitting this Bid, we certify that:

- The undersigned is authorized to sign on behalf of the VENDOR and the necessary support document delegating this authority is enclosed to this letter.
- We declare that we are not in contravention of conflict of interest obligation mentioned in this RFP.
- Indicative prices submitted by us have been arrived at without agreement with any other Bidder of this RFP for the purpose of restricting competition.
- The indicative prices submitted by us have not been disclosed and will not be disclosed to any other Bidder responding to this RFP.
- We have not induced or attempted to induce any other Bidder to submit or not to submit a Bid for restricting competition.
- The rate quoted in the indicative *price Bids are as per the RFP* and subsequent pre-Bid

clarifications/ modifications/ revisions furnished by the Bank, without any exception.

3. If our offer is accepted, we undertake to start operation within a period of one months, as specified in this document.
4. We agree to abide by all the Bid terms and conditions, contents of various Annexure of this document and the rates quoted therein for the orders awarded by the Bank up to the period prescribed in the Bid, which shall remain binding upon us.
5. Until a formal contract is prepared and executed, this Bid, together with your written acceptance thereof and your notification of award, shall constitute a binding Contract between us.
6. We undertake that in competing for (and, if the award is made to us, in executing) the above contract, we will strictly observe the laws against fraud and corruption in force in India namely "Prevention of Corruption Act 1988".
7. We undertake that we will not offer, directly or through intermediaries, any bribe, gift, consideration, reward, favor, any material or immaterial benefit or other advantage, commission, fees, brokerage or inducement to any official of the Bank, connected directly or indirectly with the bidding process, or to any person, organization or third party related to the contract in exchange for any advantage in the bidding, evaluation, contracting and implementation of the contract.
8. We undertake that we will not resort to canvassing with any official of the Bank, connected directly or indirectly with the bidding process to derive any undue advantage. We also understand that any violation in this regard, will result in disqualification of bidder from further bidding process.
9. We certify that we have not made any changes in the contents of the RFP document read with its amendments/clarifications provided by the Bank submitted by us in our Bid document.
10. It is further certified that the contents of our Bid are factually correct. We also accept that in the event of any information / data / particulars proving to be incorrect, the Bank will have the right to disqualify us from the Bid.
11. We understand that you are not bound to accept the lowest or any Bid you may receive and you may reject all or any Bid without assigning any reason or giving any explanation whatsoever.
12. We hereby undertake that our name does not appear in any "Caution" list of RBI / IBA or any other regulatory body for outsourcing activity.
13. If our Bid is accepted, we undertake to enter into and execute at our cost, when called upon by the Bank to do so, a contract in the prescribed form and we shall be jointly and severally responsible for the due performance of the contract.



14. The name(s) of successful Bidder to whom the contract is finally awarded after the completion of bidding process shall be displayed on the website of the Bank and/or communicated to the successful Bidder(s).

15. The commercial bidding process will be finalized by the Bank based on the parameters given in the bid and Bank's decision in this regard will be final and binding on us.

16. We hereby undertake and agree to abide by all the terms and conditions stipulated by the bank in the RFP document.

Dated this day of 2025

(Signature) (Name)

(In the capacity of)

Duly authorized to sign Bid for and on behalf of

Seal of the company.

Note: Company to provide copy of the board resolution authorizing the signatory to sign the bid documents.



Annexure-B

List of LHOs RACPCs/RASMECs/RACCs/

***These locations are subject to change as per the Bank’s needs and successful bidder has to deliver and install equipment in locations other than those mentioned below.**

Sr. No.	Circle name	RACPCs/RASMECs/RACCs	All branches and Offices under the Control of SBI LHO
1.		RACPC Address	(Branch details can be seen in website bank.sbi)
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			
11.			
12.			
13.			
14.			
15.			
16.			

Signature

Seal of Company

Annexure-C (i)

Bidder's Eligibility Criteria

Bidders meeting the following criteria are eligible to submit their Bids along with supporting documents. If the Bid is not accompanied by all the required documents supporting eligibility criteria, the same would be rejected:

S. No.	Eligibility Criteria	Compliance (Yes/No)	Documents to be Submitted with the Bid
1	The Service Provider should be a Government Organization / PSU / PSE / Private / Public Limited Indian Company/ Partnership firms under Indian Laws.		Copy of the Partnership deed/Bye Laws/ Certificate of Incorporation issued by Registrar of Companies along with Memorandum & Articles of Association and full address of the registered office.
2	In the last three financial years i.e. 2021-22, 2022-23 and 2023-24, the Service Provider should have achieved minimum average annual financial turnover as mentioned in pre-qualification table in this annexure from physical segregation of loan files/documents ,Scanning and Digitization Services and should have positive net worth in each of the last three financial years. The Service Providers shall submit audited annual accounts of all three years in respect of this requirement.		Copy of the audited Balance Sheet for preceding three years. Certificate from CA to be enclosed stating turnover from Electronic Document Management services (sorting, scanning and digitization) if same is not mentioned in balance sheet specifically.
3	The Service Provider should have minimum 3 years of experience during last 5 years in India in scanning and digitization at PSU / Scheduled commercial Banks / Govt. Departments/ NBFCs/ MNCs. Copies of work		Copy of the order and Certificate of completion of the work / reference from PSU / PSB / SCB / Govt. department/NBFC/MNC for satisfactory work done to be enclosed

	orders/Completion certificate to be attached.		
4	Subcontracting of the work is not permitted. An undertaking would be required to be submitted		Affidavit as per Annexure-I
5	The Service Provider should have GST registration certificate, E.S.I.C., P.F. and applicable Labour Law registration/ Licenses. Photo copies of valid registration certificates/licensees should be furnished along with the technical bid.		Compliance self-certificate along with Photocopies of valid registrations and license should be furnished with the Technical Bid.
6	Vendor to give compliance certificate adhering to all the provisions viz. fire safety; pest, rodents, termite control; fumigation norms in the facility in beginning and thereafter every 6 months' interval. No complaint against vendor / or its supervisor / staff of breach of data privacy, confidentiality, proliferation, misuse.		Affidavit as per Annexure-I
7	Service Providers should not be under a declaration of ineligibility for corrupt and fraudulent practices issued by the Tendering Authority.		Affidavit as per Annexure-I
8	Service Provider should have sufficient trained Man power , High speed scanners, Flatbed scanner and any other scanner as may be required, Computers, NAS Boxes, servers to provide scanning and digitization service at multiple centers in the Circle.		A certificate confirming availability to be enclosed with the technical bid along with site-wise details.
9	The operation of the bidder in Pakistan or China, if any, should be suitably firewalled from the contact / operations with the Bank. And shall also declare that no employee who has previously		Bidder to give an undertaking for compliance.

RFP for Scanning and Digitization at various
SBI Centers



<p>or been posted in Pakistan or China in any capacity is engaged by the company for this project and that no Pakistan national or person of Pakistan origin should be engaged by the service provider for the project. Further the company should not post an employee who has worked in India operations in Pakistan or China</p>		
---	--	--

Annexure-C (ii)

Technical Bid Evaluation Criteria

The Bank shall constitute an Evaluation Committee (EC), which shall carry out the entire evaluation process. The Technical proposal evaluation process would focus on the ability of Service Provider to satisfy technical requirements of the assignment, quality assurance procedures and experience of safekeeping of records. Technical proposals will be evaluated based on the following criteria. The bidder will have to provide necessary documentary evidence for below criteria along with Bid document failing which bidder will be awarded Nil mark for criteria where no documentary evidence provided with bid document.

Sr. No	Evaluation Criteria	Points	Marking System								
1	Experience Capabilities	18	<p>Experience of scanning and digitization for Scheduled Commercial Banks (SCB) / Govt. departments / PSU/NBFC/MNC (Maximum points – 15)</p> <table border="1"> <thead> <tr> <th>No of SCB / PSU / Govt. departments/NBFC /MNC</th> <th>Points</th> </tr> </thead> <tbody> <tr> <td>Less than 3 years</td> <td>0</td> </tr> <tr> <td>3 years</td> <td>3</td> </tr> <tr> <td>More than 3 years</td> <td>2 point each for additional 1 year experience beyond 3years, maximum 15 points . 4year experience: 4 points 5 year experience : 6 points etc.</td> </tr> </tbody> </table>	No of SCB / PSU / Govt. departments/NBFC /MNC	Points	Less than 3 years	0	3 years	3	More than 3 years	2 point each for additional 1 year experience beyond 3years, maximum 15 points . 4year experience: 4 points 5 year experience : 6 points etc.
No of SCB / PSU / Govt. departments/NBFC /MNC	Points										
Less than 3 years	0										
3 years	3										
More than 3 years	2 point each for additional 1 year experience beyond 3years, maximum 15 points . 4year experience: 4 points 5 year experience : 6 points etc.										

		2	The Bidder should have International certification/affiliation/Membership professional Records & Information Services Management (PRISM) for record management -2												
2	Financial Capabilities	15	<p>Average annual financial turnover (From scanning and digitization) in the last three financial years i.e. 2021-22, to 2023-24 from scanning and digitization (CA certificate to be enclosed as an evidence) (Maximum points – 15)</p> <table border="1"> <thead> <tr> <th>3 yrs average turn over (Rs.in crores)</th> <th>Points</th> </tr> </thead> <tbody> <tr> <td>2.0 and above</td> <td>15</td> </tr> <tr> <td>1 to less than 2.0</td> <td>12</td> </tr> <tr> <td>0.50 to less than 1.0</td> <td>8</td> </tr> <tr> <td>Less than 0.5</td> <td>5</td> </tr> </tbody> </table>	3 yrs average turn over (Rs.in crores)	Points	2.0 and above	15	1 to less than 2.0	12	0.50 to less than 1.0	8	Less than 0.5	5		
3 yrs average turn over (Rs.in crores)	Points														
2.0 and above	15														
1 to less than 2.0	12														
0.50 to less than 1.0	8														
Less than 0.5	5														
		10	<p>The Profit making in the last three financial years (2021-22 ,2022-23,2023-2024) (Maximum points – 10)</p> <ul style="list-style-type: none"> • Making profit in all three FYs mentioned above – 10 points • Making profit in any two FYs mentioned above – 5 points • Making profit in less than 2 years – 0 point 												
			<p>Total Contract value executed during last 3 years:</p> <table border="1"> <thead> <tr> <th>S. No.</th> <th>Contract Value (Rs in cr.)</th> <th>Points</th> </tr> </thead> <tbody> <tr> <td>1.</td> <td>More than or equal to 2.00 cr.</td> <td>5</td> </tr> <tr> <td>2.</td> <td>More than or equal to 1 cr & less than 2 Cr</td> <td>3</td> </tr> <tr> <td>3.</td> <td>Less than 1 cr.</td> <td>1</td> </tr> </tbody> </table>	S. No.	Contract Value (Rs in cr.)	Points	1.	More than or equal to 2.00 cr.	5	2.	More than or equal to 1 cr & less than 2 Cr	3	3.	Less than 1 cr.	1
S. No.	Contract Value (Rs in cr.)	Points													
1.	More than or equal to 2.00 cr.	5													
2.	More than or equal to 1 cr & less than 2 Cr	3													
3.	Less than 1 cr.	1													



3 *	<p>Legal and Regulatory Compliance.</p> <p>Self-certification along with documentary proof to be enclosed.</p>	15	<p>(Maximum points – 15)</p> <ul style="list-style-type: none"> • Service Providers should not be under a declaration of ineligibility for corrupt and fraudulent practices issued by the Tendering Authority – 7 Points • Bidder has ISO 9001-2015 Quality certification – 1.5 Points • ISO 27001:2013 for Data security for IT Service Management in bidder’s name – 1.5 Points • The Service Provider should have GST,
4	<p>Records Management Solutions and Processes.</p> <p>(Self-certification along with documentary proof to be enclosed.)</p>	15	<ol style="list-style-type: none"> 1. The Service Provider should have implemented a Comprehensive Records Management Solutions. The Service Provider have dedicated in house IT team should and have the ability to customize the process with access to the Source code of the software being used by the Service Provider at any time as per Bank requirement. – 10 Points 2. Service provider capable of providing online MIS facility – 5 points
5	<p>Scanner specifications</p> <p>(Self-certification along with documentary proof to be enclosed.)</p>	20	<ol style="list-style-type: none"> 1. Company own minimum 50 highspeed scanner, flatbed scanners, as per the specifications mentioned scope of work (Annexure–D) - 10 Points 2. The Highspeed scanner used automatically put tag on the pages which are scanned correctly- 5 points 3. Availability of NAS Boxes Minimum 5 -5 Points <p style="text-align: center;">1.</p>
	TOTAL	100	

EVALUATION CRITERIA

Only the Service Providers who score more than 80 (Eighty) marks in Technical Evaluation process and minimum 80% in Legal and Regulatory Compliance (Annexure c-ii, point 4) will qualify for Commercial Evaluation process. Once the evaluation of technical proposals is completed, the Service Providers who score more than the prescribed cut-off score will only be short listed. In case, only two / one / none of the participating Service Providers qualify on technical criteria and reach or exceed the cut-off score of 80, then the Bank, at its sole discretion, may qualify three / five Service Providers on the basis of the top 3 / 5 scores in technical evaluation . Bidders selected by Bank on the basis of Technical evaluation will be allowed to bid in e- reverse auction. The Service Provider whose bid has been determined as the lowest final commercial quote (i.e. L1) shall be awarded **the project**. In case of a tie among L-1 Bidders, marks obtained in the technical bid scoring model as provided in Annexure-C(ii), will be used as a tie-breaker.

Bank reserve the right to cancel the contract and impose penalty as deemed fit if self-certification where ever enclosed found to be misleading and wrong.

BIDDING PROCESS:

Commercial bids shall be invited through sealed bid option on <https://etender.sbi> (agency **E-Procurement Technologies Limited**). For any technical support, the contact details are:

M/s E-Procurement Technologies Limited (EPTL), Support Team Concerned Officer-
etender.support@eptl.in

M/s E-Procurement Technologies Limited (EPTL), Khushboo.mehta@eptl.in, Contact
Number- 9510813528/ 7859800609 / 7859800616

Note: 1) Ensure to have Digital Signature in the name of the Bidder for uploading the bid online.

2) Registration with M/s EPTL for online bidding/ tendering.

3) Bidder is required to submit entire technical bid signed & scanned along with requested documents & EMD.

4) Bidder is also required to submit Price bid online simultaneously.

Bank on its sole discretion may choose any of the bidding process noted hereunder:

(A) Bidders will bid at Circle level. Each bidder will bid for technical Bid .Bidder will be allowed to bid for commercial bid provided the bidder has qualified in Technical bid.

(B) A bidder can bid for **Bhopal Circle**.

- (C) L1 of each Circle will be decided through e reverse auction.
- (D) In case where MSE bidder bid and quote within L1+15% then 20% job of that Circle will be allotted to it provided they agree to match L1 rate. In the case where more than one MSE bidders bid and quote within L1+15%, then 20% of total job will be distributed among eligible MSE bidders in equal proportion provided they agree to match L1 quote price.
- (E) Work will be allotted based on projected job quantity (No of documents to be digitized)
- (F) Allotment of RACPC/RASMEC/Branch/DAC (other offices) to vendor will be at sole discretion of bank. The Bank at its sole discretion will allot the work on the basis of availability of Job volume at a Bank desired location, and Bank's decision will be final and binding.

Bank may, at its sole discretion, decide to seek more information from the respondents in order to normalize the bids. However, respondents will be notified separately, if such normalization exercise as part of the technical evaluation is resorted to.

General Clauses:

- i. In **Annexure G**, if there is discrepancy in the unit price quoted in words and figures, the unit price quoted in words, shall be taken as correct.
- ii. L1 Bidder for each Circle will be decided separately at Circle level based on the commercial bids. Technical evaluation will be common for all the Circles.
- iii. Bank reserve the right to reject any / all the vendors in technical as well as commercial bid.

Annexure- D

Scope of Work

- i. The Service provider has to provide services relating to digitization of Loan documents, relevant enclosures and other records pertaining to the Bank's RACPCs/RASMECS/RACCs at different locations in India and stored at CPCs, / DACs, /other Temporary/permanent storage facilities/Branches on an ongoing basis as per the work order letter issued by the concerned RACPC/RASMEC/DAC/RACCs/Other offices,.
- ii. Vendor has to carry out the task of **sorting, physical segregation of Loan files/documents/associated enclosures into various pre-defined folders/sets/buckets**, quality scanning, digitization, storing, uploading and indexing of Loan files and storing the physical documents in color coded folders supplied by bank as well as imparting training to staff within the given timelines.
- iii. Loan files and relevant documents/records may be in A4/Legal size or any other size as may be directed by the Bank. The entire process of digitization of Loan files and relevant documents/records would involve retrieval of the Loan files and relevant documents/records from the storage place, open the bundles held in lots, remove the staple pin, sorting, segregating the physical files into separate buckets/sets, Scanning each page of all Set, Digitizing, indexing, uploading, stamping the physical Loan files and relevant documents/records and finally re-bundle the Loan files and associated documents/records and storing the physical files into color coded folders(provided by bank) after stapling and hand over to the authorized person of RACPC/RASMEC/RACC/DAC/Branches/Other Offices for re-storage.
- iv. The quality and clarity of scanning should be beyond doubt and bank decision in this regard will be final. Scanned images should be of 150 to 200 dpi resolution, gray scale. Scanning to comply with C-KYC specifications. Maximum size per folder should not exceed 15 MB. If the digitized file size exceeds 15 MB, the file should be compressed below 15 MB.
- v. The scanned images to be saved in PDF form based on account number. It should be accessible in EDMS based on account number.
- vi. In case of torn and fragile documents which cannot be fed into the scanner, matter will be referred to authorized official for taking decision regarding obtaining photocopies of such items for the purpose of scanning. Further, in case, a document cannot be scanned using any type of scanner, digital camera can be used as image capturing device after taking proper approval from Bank's authorised Official.
- vii. The vendor will impart simultaneous training to bank staff regarding various digitization process including scanning, digitizing, indexing, uploading and retrieval in the software and related issues. The vendor will provide all end-user support to the Bank.
- viii. The vendor has to maintain utmost confidentiality and will be responsible for any data breach as mentioned in the agreement subsequently.
- ix. The document (Loan files and relevant documents/records) should be properly and securely kept for digitization and returned to the authorized officer on daily basis. A log register should be properly maintained detailing all the movement of document (Loan files and relevant documents/records) and should be returned with all the pages intact and secured.
- x. CCTV will be provided by Bank.
- xi. The vendor shall arrange good quality and in sufficient numbers of scanners, computers and servers, NAS boxes, LAN networking at his own apart from deploying sufficient and skilled manpower.

- xii. Vendor has to sort, scan and digitize a minimum no of Loan files and relevant documents/records per day/per location (Annexure K (ii)) or as decided by the bank with mutual consent for each location. The vendor has to accordingly adhere to the timelines and non-maintaining of the timeline may invite penalty as per Annexure K (i)
- xiii. Bank will have the right to add/amend/modify scope of the work as per its discretion/requirement though with mutual agreement/consent.
- xiv. Unfinished work / left out work / error rectifications pertaining to the expired contract period to be completed during the present agreement period on priority basis without any extra cost to the Bank.
- xv. **Scanned images, should be compatible with software installed at GITC and end user (Branch) should be able to view and download digitized documents through remote login. Vendor to test check this compatibility first before going for full scale scanning and digitization. and repair the digitized files if so required to make them compatible with the software without any additional cost.**
- xvi. **Bidder to start work and test compatibility within one month of signing SLA. Failing which penalty as per Annexure K(i) will be imposed. Bank may also invoke PBG and cancel work order and allot same work to L2/L3 Bidder.**
- xvii. **Bidding shall to be done in two parts**
 - 8.5.1.1 **Technical bidding**
 - 8.5.1.2 **Commercial Bidding only for those who qualified in technical bidding, each circle separately.**

Scope of Work

Standard Operating Procedure (SOP)

This step includes the following:

Step 1: File Identification.

- a. Identifying the quality and condition of the documents. Documents will be in form of files. Majority documents have only text, photographs but a few may have graphics.
- b. On receipt of the set of particular Loan files and associated enclosures/other records (handing over by Bank' Official will be noted in a register), Vendor will check for mutilation, worn out etc. which will be informed to the Special Team leader. Vendors will undertake the following activities::
- c. De-filing
- d. De-stapling, removing paper clips
- e. Unbinding
- f. Smoothing edges, orienting direction
- g. Pasting of torn documents (In such a way that it does not obliterate any material things on the page) and physical preparation/segregation of Documents into pre-defined buckets/sets.
- h. Loan Documents may be bound. Wherever, the documents are bound, then vendor has to open the bind with prior permission from the Bank official, scan and re-file in the same order of paging.
- i. Segregate physical Loan files into 7 folders or as decided .
- j. Scanning documents will have the following paper size (A0 / A1 / A2 / A3 / A4 / Legal and others).

Step 2: Receiving the files

The following includes:

- a. Collection of documents from Bank Official.
- b. Cleaning, Dusting and Fumigation of Documents.
- c. Page number needs to be captured by vendor digitally at the bottom of the page for noting and corresponding side papers. Numbering has to be done for all pages. Vendor should ensure and cross check the numbers captured to verify the numbers captured are in sequence for all pages.
- d. Bank official will make an entry in the log register module of the scanning, Digitization and Data entry (referred to as Application) while handing over the document.
- e. Each file handed over to the Vendor will be tracked using a unique ID generated by the application.(e.g. loan account no)
- f. Vendor will accept the log and physical files. As part of this verification, the vendor is advised to verify the document/ file quality with the Bank official and any discrepancy should be brought to the notice of the Bank representative.
- g. Under NO circumstances shall the documents be changed. Mutilated, destroyed or replaced by some other documents.
- h. Inward the documents in the log register module of the application as followed :

- i. Name of the document collected.
 - ii. Number of pages in the document (A4/Legal/A3/A2/A0/others)
 - iii. Collected from (Official Name)
 - iv. Collected by and Signature (Vendor Representative)
 - v. Date of collection.
 - vi. Returned to (Bank Official) – Name and Signature.
 - vii. Returned by (Vendor representative)
- i. Vendor should ensure that number of pages in each document is accurately captured and is then cross-checked with the number of pages scanned. Vendor should maintain order of documents without disturbing the chronology of the documents and without mixing pages between different documents. In case of unavailability of required documents or pages missing or documents missing, it should be highlighted to the Bank Official
 - j. Documents will be provided to the Vendor in a condition that the Vendor will be able to start scanning readily. In case of the document condition not being to such an extent, it is the responsibility of the vendor to inform the Bank's authorised official.
 - k. Vendor will provide the name and ID if the authorised person, who will receive documents/loan files for scanning at each scanning centre. Bank will also authorise at each centre, an official who will hand over and receive back documents/loan files to and from the vendor authorised representative.

Step 3: Batch Preparation

Each paper page is prepared for scanning by:

- i. De-filing
- ii. De-stapling, removing paper clips
- iii. Unbinding
- iv. Smoothing edges, orienting direction
- v. Segregating and sorting documents.
- vi. Pasting of torn documents and physical preparation of Documents
- vii. Physical Loan files will be segregated into 9 buckets/18 buckets or sets or as decided by the Bank. While segregating, care should be taken, so that the physical files are segregated as per specification given by the Bank.

Step 4: Scanning/QC of Images:

Use of ADF Scanners/Overhead scanners/ Flatbed Scanners:

- a. Depending upon the nature and quality of documents the vendor shall deploy ADF/ A3 scanners / Overhead scanners/ flatbed scanners, as the case may be the choice of scanners depends completely on the condition of the document. The number of the scanners to be deployed to depend on local requirement.; this may increase depending on the daily target achieved. Daily target to be achieved is as per **Annexure -K(ii)**. Timelines of the project will be 2 years with an option to extend further as per Bank's requirement. In case the document is very torn and cannot be fed in the sheet feeder, then

flatbed scanner should be used. In case the document cannot be scanned using any type of scanner, digital camera can be used as image capturing device.

- b. Vendor will attach the generated barcode as a Unique file ID for all the files before scanning. Bar code will be pasted on the file cover (including Front File Cover, Back File Cover and Internal Noting pages). Each paper page is scanned and all the pages in the file is stored as one of digital file **searchable formats** (PDF/A) (ISO 19005-1:2005) using appropriate scanners with high quality document and image results. Scanning to be done on 150-200 DPI resolution grey scale with digitized file. Size per folder/set/bucket under the main folder should not exceed 15 MB. In case the document is not visible then document scanning shall be done in gray scale. The images are stored under PDF/A format with maximum image quality with minimum storage capacity. In case the documents are not legible it needs to be scanned on high resolution i.e. 600 dpi or higher. Quality of the scanned images for skewing, de-skewing, de-speckling, cropping, removing black noise around the text cleansing of images etc. shall be carried out by operator other than the one scanning the document. Scanned image should be replica of the original document. Scanned images will be segregated and saved into 7 pre decided folders.
- c. Vendor should ensure latest licensed software for Quality Check is installed.
- d. The generated PDF/A should be optimized for viewing over the internet i.e. pages should be viewable as soon as they are downloaded without waiting for the complete file to be downloaded. i.e. A multipage file is downloaded page-by-page and should be viewable as soon as a page is downloaded rather than having wait for the entire file to download.
- e. Scanned image generated after adequate compression should be legible in both soft format and printed copy taken on paper in 1:1 scale. If the scanned document is not legible then it will be the vendor's responsibility to scan the documents at higher resolution.
- f. All pages in the file need to be scanned. (Excluding duplicates and photocopies of already scanned documents)
- g. Quality check after scanning is of utmost importance. Vendor should ensure quality of work. Vendor should check scanned records for DPI, image Quality, Format, Noise removal etc.
- h. Vendor should ensure that digitized document is the exact replica of the original paper document maintained as part of the records in the books.

Step 5: Post Scanning / Refiling and handing over the files

- a. After the scanning, re-bundle, restaple the Loan files and relevant documents/records (after stapling) in lots, store into colour coded folders provided by the Bank and hand over to the

- authorized person of RACPC/RASMEC/ RACC/DAC/Branches/Other Offices for re-storage.
- b. Each page shall be serially arranged and shall be counted while giving the documents back.
 - c. Vendor to maintain and return the documents in their original form to the Bank representative.
 - d. Any damage to the documents collected shall make the vendor liable to penalty as specified.
 - e. Vendor to Maintain outward log for files returned back to Bank. Vendor should maintain records of Issue/ Return of files/ Documents in the data entry application for keeping track of the flow of files/documents and get the same countersigned by the Bank representative while collecting and returning the files/documents. Digital files will be tagged and stored in the drive.
 - f. Vendor is required to take back up of incremental data at the end of the day. The backup shall be taken on hard disk in a secured storage for data retrieval in case of emergency. Vendor to ensure data security and confidentiality while taking back up.
 - g. The vendor shall not assign their right and interest in these presents or assume a fresh partner or partners or dissolve partnership at present subsisting between them in reference to this contract without the written permission from Bank.

7.Quality Check: Quality Check involves verification of the data captured and carrying out necessary rectification in case of errors in data. Quality check in the document should be as under:

- a. Physical segregation of loan files and associated documents/relevant enclosures is correctly done as per Bank's instruction into 9 folders/sets/buckets /or as many folders/sets /buckets as decided by the Bank.
- b. All documents have been scanned.
- c. The Image should not be too dark / too light.
- d. The Image should not have been captured under improper lighting.
- e. The Image should not have been cropped from any side.
- f. The orientation of the image should be right.
- g. The colour is consistent in all the images and not patchy.
- h. The image should not be skewed.
- i. The image should not be blurred.
- j. There should not be any data loss due to folds.
- k. There should not be any data loss due to tight binding and bulge at the centre.
- l. There should not be extra darkness at the edges.
- m. Each digital/file /Folder size should not exceed 15 MB. If the size of any of the bucket /folder exceeds 15MBPS, same should be compressed to 15MBPS without compromising on image quality
- n. While returning the Loan documents to the authorised person at RACPC/RASMEC/RACC/Branch /Other offices, the files/associated documents/enclosures should be stored into colour coded folders provided by the Bank.
- o. Same document/duplicate document should not be scanned multiple times.

6. Indexing

Indexing to be carried out post completion of Quality check. Each file doc will be indexed with a unique identification no. such as Loan account no (for example 12345678900). No other character should be used in naming the main folder:

ii. Images will be segregated according to type of doc like Loan application and placed in 7 sub folders (maximum size of each sub folder: 15 MB) under account no wise folders

8. Data Transfer to Bank's ADS enabled storage facility/NAS Box: After quality check, vendor will arrange to transfer data to Bank's ADS enabled storage facility located at RACPC/RASMEC/RACC/DAC/Branches/Other offices on daily basis. The data transfer process may be online or through manual process as advised by GITC from time to time. Scanned images, should be compatible with EDMS software installed in EDMS server at GITC Navi Mumbai and end user (Branch) should be able to view and download digitized documents through remote login. Vendor to test check this compatibility first before going for full scale scanning and digitization.

Bidder to start work and test compatibility within one month of signing SLA. Failing which penalty as per Annexure K(i) will be imposed. Bank may also invoke PBG and cancel work order.

8. Responsibility Statement

- i. The Vendor should ensure that Scanners, NAS Box, Manpower are deployed to the maximum capacity in the space provided by the Bank/RACPC/RASMEC/RACC/Branch/DAC/other offices to complete the project as per timelines.
- ii. Vendor should follow the guidelines / instructions of the Bank officials for day to day digitization activity.
- iii. Vendor should ensure scanners, desktop, NAS Box, manpower is made available in working condition on priority on request raised by the Bank
- iv. The scanning to take place inside Bank premises. Furniture, cabling, repair and CCTV, staff welfare etc. to be arranged by Bank. Maintenance of vendor equipment to be ensured by vendor.
- v. Bank has the right to conduct audit on the service provider whether by its internal or external auditor, agents appointed to act on its behalf and to obtain copies of any audit or review report and findings made on the service provider in conjunction with the services performed for the Bank.
- vi. Due diligence/ KYC/ physical verification of employees of the service provider will be done by the Service Provider and proper record of the same will be maintained. Service Provider will report the Bank about employees joined/ left the company on regular intervals. The vendors employees will be frisked and scanned and will not be

allowed to take any pen or copying or photo camera inside the workplace and will be under CCTV Surveillance

REPORTS

The Service Provider is required to provide the scanning center wise reports as per Bank's requirement and periodicity, to the designated officer of the Bank.

- i. Total No of physical files sorted and segregated into 9 buckets/18 buckets as specified by bank
- ii. Total no of documents and Images scanned
- iii. Total no of documents indexed Digital segregation)
- iv. Total no documents saved in Banks' local storage facility/NAS Box at RACPC/RASMEC/RACC/DAC/other offices.
- v. Total No. of documents rebundled (stapled) and stored into color coded folders

IMPROVEMENTS IN PROCESSES

The vendor will be open to any up-gradation/improvement in the system/processes which will contribute to better Records Management with Bank's consent, under intimation to the Bank.

MISC ITEMS

- Vendor will work inside Bank premises and the Bank will provide working space, desks, chairs, power connection, CCTV. Cost of power consumption for scanning and digitization will be borne by Bank
- Vendor will bring computers, servers, scanners, NAS Boxes, cabling of the scanners to computers and servers, UPS, equipment's for pinning, unpinning and any other item required for smooth functioning scanning and digitization.

Annexure –E

PERFORMANCE BANK GUARANTEE FORMAT

(TO BE STAMPED AS AN AGREEMENT)

THIS PERFORMANCE BANK GUARANTEE AGREEMENT executed atthis.....day of 2025 by (Name of the Bank)..... having its Registered Office atand its Branch at

.....(hereinafter referred to as "the Guarantor", which expression shall, unless it be repugnant to the subject, meaning or context thereof, be deemed to mean and include its successors and permitted assigns) **IN FAVOUR OF** State Bank of India, a Statutory Corporation constituted under the State Bank of India Act, 1955 having its Corporate Centre at State Bank Bhavan, Nariman Point, Mumbai and one of its Local Head offices at-----
-----**(procuring office address)**,hereinafter referred to as "**SBI**" which expression shall, unless repugnant to the subject, context or meaning thereof, be deemed to mean and include its successors and assigns).

WHEREAS M/s _____ ,incorporated under _____ Act having its registered office at _____ and principal place of business at _____ (hereinafter referred to as "**Service Provider/ Vendor**" which expression shall unless repugnant to the context or meaning thereof shall include its successor, executor & assigns) has agreed to supply of hardware/software and/ or services(hereinafter referred to as "**—Services**") to SBI in accordance with the Request for Proposal (RFP) No. SBI:xx:xx dated dd/mm/yyyy.

WHEREAS, SBI has agreed to avail the Services from the Service Provider for a period of year(s).

WHEREAS, in accordance with terms and conditions of the RFP / Purchase order/Agreement dated....., Service Provider is required to furnish a Bank Guarantee for a sum of Rs. _____ /- (Rupees _____ only) for due performance of the obligations of the Service Provider in providing the Services, in accordance with the RFP/Purchase order/Agreement guaranteeing payment of the said amount of Rs. _____ /- (Rupees _____ only) to SBI, if Service Provider fails to fulfill its obligations as agreed in RFP/Agreement.

WHEREAS, the Bank Guarantee is required to be valid for a total period of..... months and in the event of failure, on the part of Service Provider, to fulfill any of its commitments / obligations under the RFP/Agreement, SBI shall be entitled to invoke the Guarantee.

AND WHEREAS, the Guarantor, at the request of Service Provider, agreed to issue, on behalf of Service Provider, Guarantee as above, for an amount of Rs. _ /- (Rupees only).

NOW THIS GUARANTEE WITNESSETH THAT

1. In consideration of SBI having agreed to entrust the Service Provider for rendering Services as mentioned in the RFP, we, the Guarantors, hereby unconditionally and irrevocably

guarantee that Service Provider shall fulfill its commitments and obligations in respect of providing the Services as mentioned in the RFP/Agreement and in the event of Service Provider failing to perform / fulfill its commitments / obligations in respect of providing Services as mentioned in the RFP/Agreement, we (the Guarantor) shall on demand(s), from time to time from SBI, without protest or demur or without reference to Service Provider and not withstanding any contestation or existence of any dispute whatsoever between Service Provider and SBI, pay SBI forthwith the sums so demanded by SBI in each of the demands, subject to a cumulative maximum amount of Rs. _/- (Rupees only).

2. Any notice / communication / demand from SBI to the effect that Service Provider has failed to fulfill its commitments / obligations in respect of rendering the Services as mentioned in the Agreement, shall be conclusive, final & binding on the Guarantor and shall not be questioned by the Guarantor in or outside the court, tribunal, authority or arbitration as the case may be and all such demands shall be honoured by the Guarantor without any delay.
3. We (the name of the Guarantee issuing Bank) confirm that our obligation to the SBI, under this guarantee shall be independent of the agreement or other understandings, whatsoever, between the SBI and the Service Provider. 4. This guarantee shall not be revoked by us (the Guarantor) without prior consent in writing of the SBI.

WE (THE GUARANTOR) HEREBY FURTHER AGREE & DECLARE THAT-

- (i) Any neglect or forbearance on the part of SBI to Service Provider or any indulgence of any kind shown by SBI to Service Provider or any change in the terms and conditions of the Agreement or the Services shall not, in any way, release or discharge the Bank from its liabilities under this Guarantee.
- (ii) This Guarantee herein contained shall be distinct and independent and shall be enforceable against the Guarantor, notwithstanding any Guarantee or Security now or hereinafter held by SBI at its discretion.
- (iii) This Guarantee shall not be affected by any infirmity or absence or irregularity in the execution of this Guarantee by and / or on behalf of the Guarantor or by merger or amalgamation or any change in the Constitution or name of the Guarantor.
- (iv) The guarantee shall not be affected by any change in the constitution of SBI or Service Provider or winding up / liquidation of Service Provider, whether voluntary or otherwise
- (v) This guarantee shall be a continuing guarantee during its validity period and the SBI can make its claim in one or more events within the total liability of the Guarantor mentioned herein.
- vi) This Guarantee shall remain in full force and effect for a period of 3 years from the date of the issuance i.e. up to Unless a claim under this Guarantee is made against us within three (3) months from that date i.e. on or before, all your rights under this Guarantee shall be forfeited and we shall be relieved and discharged from all liabilities there under.

(vi) This guarantee shall be governed by Indian Laws and the Courts in LHO Bhopal centre., alone shall have the jurisdiction to try & entertain any dispute arising out of this guarantee.

Notwithstanding anything contained herein above:

- (a) Our liability under this Bank Guarantee shall not exceed Rs...../- (Rupeesonly)
- (b) This Bank Guarantee shall be valid upto.....
- (c) We are liable to pay the guaranteed amount or any part thereof under this Bank Guarantee only and only if SBI serve upon us a written claim or demand on or before (date which is 3 months after date mentioned at (b) above.

Yours faithfully,

For and on behalf of Bank.

Authorized official

Annexure-F

Details of the Bidder

Sr. no.	Particulars	Details
	Name and address	
	Date of Incorporation and / or commencement of business	
	Certificate of incorporation	
	Brief description of the Bidder including details of its main line of business	
	Company website URL	
	GST No. Company registration No. and Date ESIC registration No. & Date PF registration No & Date	
	Particulars of the Authorized Signatory of the Bidder Name Designation Address Phone Number (Landline) Mobile Number Fax Number Email Address	

Signature

Seal of Company



Annexure-G
Commercial Bid Format

Sr. No.	Description	Rate per Image (INR)
	<p>Recurring Cost per scanned Image:</p> <p>The bidder has to give a single rate taking into consideration all the Costs towards, operation of Scanning and digitization process (Physical segregation of loan files/documents/associated enclosures or documents-Scanning – Indexing –storing of the physical loan documents in color coded folders (provided by Bank)- storage of scanned documents in Bank’s storage facility at RACPC/RASMEC/RACC/ Branches/other offices) , as per the scope of work in the RFP document.</p> <p>Note:</p> <ol style="list-style-type: none"> 1. The above rate should include cost/service/infrastructure/support/ resources employed and deployed by the vendor at any location within the jurisdiction of Circle/Local Head Office. The user training fee for RACPC/RASMEC/DAC/ BRANCHES/other storage centers is included in the scanning and digitization rate. 2. Price quoted excluding Govt. taxes. 	

(Rupees in words.....)

*** The above rate is excluding Taxes**

Signature

Seal of Company

Annexure -H
NON-DISCLOSURE AGREEMENT

THIS RECIPROCAL NON-DISCLOSURE AGREEMENT (the "Agreement") is made at
between:

State Bank of India constituted under the _____ Act, _____ having its Corporate Centre
at _____
_____ (hereinafter referred to as "Bank" which
expression includes its successors and assigns) of the ONE PART;

And

_____ (Hereinafter referred to as
"_____ " which expression shall unless repugnant to the subject or context thereof,
shall mean and include its successors and permitted assigns) of the
OTHER PART;

And Whereas

1. _____ is carrying on business of
providing _____,
_____ for the Bank and other related tasks.
2. For purposes of advancing their business relationship, the parties would need to disclose
certain valuable confidential information to each other. Therefore, in consideration of covenants
and agreements contained herein for the mutual disclosure of confidential information to each
other, and intending to be legally bound, the parties agree to terms and conditions as set out
hereunder.

NOW IT IS HEREBY AGREED BY AND BETWEEN THE PARTIES AS UNDER

1. **Confidential Information and Confidential Materials:**
 - a. "Confidential Information" means non-public information that Disclosing Party designates
as being confidential or which, under the circumstances
surrounding disclosure ought to be treated as confidential. "Confidential Information"
includes, without limitation, information relating to installed or purchased Disclosing Party
software or hardware products, the information relating to general architecture of
Disclosing Party's network, information relating to nature and content of data stored
within network or in any other storage media, Disclosing Party's business policies,
practices, methodology, policy design delivery, and information received from others that
Disclosing Party is obligated to treat as confidential. Confidential Information disclosed

to Receiving Party by any Disclosing Party Subsidiary and/ or agents is covered by this agreement.

- b. Confidential Information shall not include any information that: (i) is or subsequently becomes publicly available without Receiving Party's breach of any obligation owed to Disclosing party; (ii) becomes known to Receiving Party prior to Disclosing Party's disclosure of such information to Receiving Party; (iii) became known to Receiving Party from a source other than Disclosing Party other than by the breach of an obligation of confidentiality owed to Disclosing Party; or (iv) is independently developed by Receiving Party.
- c. "Confidential Materials" shall mean all tangible materials containing Confidential Information, including without limitation written or printed documents and computer disks or tapes, whether machine or user readable.

2. **Restrictions**

- a. Each party shall treat as confidential the Contract and any and all information ("confidential information") obtained from the other pursuant to the Contract and shall not divulge such information to any person (except to such party's own employees and other persons and then only to those employees and persons who need to know the same) without the other party's written consent provided that this clause shall not extend to information which was rightfully in the possession of such party prior to the commencement of the negotiations leading to the Contract, which is already public knowledge or becomes so at a future date (otherwise than as a result of a breach of this clause). Receiving Party will have executed or shall execute appropriate written agreements with its employees and consultants specifically assigned and/or otherwise, sufficient to enable it to comply with all the provisions of this Agreement.
- b. Receiving Party may disclose Confidential Information in accordance with judicial or other governmental order to the intended recipients (as detailed in this clause), provided Receiving Party shall give Disclosing Party reasonable notice prior to such disclosure and shall comply with any applicable protective order or equivalent. The intended recipients for this purpose are:
 - 1. the Statutory Auditors of the Bank and
 - 2. Regulatory Authorities regulating the affairs of the Bank and inspectors and supervisory bodies thereof.
- c. The foregoing obligations as to confidentiality shall survive any termination of this Agreement.
- d. Confidential Information and Confidential Material may be disclosed, reproduced, summarized or distributed only in pursuance of Receiving Party's business relationship

with Disclosing Party, and only as otherwise provided hereunder. Receiving Party agrees to segregate all such Confidential Material from the confidential material of others in order to prevent mixing.

- e. Receiving Party may not reverse engineer, decompile or disassemble any software disclosed to Receiving Party.

3. **Rights and Remedies**

- a. Receiving Party shall notify Disclosing Party immediately upon discovery of any unauthorized use or disclosure of Confidential Information and/ or Confidential Materials, or any other breach of this Agreement by Receiving Party, and will cooperate with Disclosing Party in every reasonable way to help Disclosing Party regain possession of the Confidential Information and/ or Confidential Materials and prevent its further unauthorized use.
- b. Receiving Party shall return all originals, copies, reproductions and summaries of Confidential Information or Confidential Materials at Disclosing Party's request, or at Disclosing Party's option, certify destruction of the same.
- c. Receiving Party acknowledges that monetary damages may not be the only and / or a sufficient remedy for unauthorized disclosure of Confidential Information and that Disclosing Party shall be entitled, without waiving any other rights or remedies (as listed below), to injunctive or equitable relief as may be deemed proper by a Court of competent jurisdiction.
 - o Suspension of access privileges
 - o Change of personnel assigned to the job
 - o Financial liability for actual, consequential or incidental damages
 - d. Termination of contract
 - o Disclosing Party may visit Receiving Party's premises, with reasonable prior notice and during normal business hours, to review Receiving Party's compliance with the term of this Agreement.

4. **Miscellaneous**

- a) All Confidential Information and Confidential Materials are and shall remain the property of Disclosing Party. By disclosing information to Receiving Party, Disclosing Party does not grant any expressed or implied right to Receiving Party to disclose information under the Disclosing Party patents, copyrights, trademarks, or trade secret information.
- b) An document provided under this Agreement is provided with RESTRICTED RIGHTS.

- c) Neither party grants to the other party any license, by implication or otherwise, to use the Confidential Information, other than for the limited purpose of evaluating or advancing a business relationship between the parties, or any license rights whatsoever in any patent, copyright or other intellectual property rights pertaining to the Confidential Information.
- d) The terms of Confidentiality under this Agreement shall not be construed to limit either party's right to independently develop or acquire product without use of the other party's Confidential Information. Further, either party shall be free to use for any purpose the residuals resulting from access to or work with such Confidential Information, provided that such party shall maintain the confidentiality of the Confidential Information as provided herein. The term "residuals" means information in non-tangible form, which may be retained by person who has had access to the Confidential Information, including ideas, concepts, know-how or techniques contained therein. Neither party shall have any obligation to limit or restrict the assignment of such persons or to pay royalties for any work resulting from the use of residuals. However, the foregoing shall not be deemed to grant to either party a license under the other party's copyrights or patents.
- e) This Agreement constitutes the entire agreement between the parties with respect to the subject matter hereof. It shall not be modified except by a written agreement dated subsequently to the date of this Agreement and signed by both parties. None of the provisions of this Agreement shall be deemed to have been waived by any act or acquiescence on the part of Disclosing Party, its agents, or employees, except by an instrument in writing signed by an authorized officer of Disclosing Party. No waiver of any provision of this Agreement shall constitute a waiver of any other provision(s) or of the same provision on another occasion.
- f) Subject to the limitations set forth in this Agreement, this Agreement will inure to the benefit of and be binding upon the parties, their successors and assigns.
- g) If any provision of this Agreement shall be held by a court of competent jurisdiction to be illegal, invalid or unenforceable, the remaining provisions shall remain in full force and effect.
- h) All obligations created by this Agreement shall survive change or termination of the parties' business relationship.

5. **Suggestions and Feedback**

- (a) Either party from time to time may provide suggestions, comments or other feedback to the other party with respect to Confidential Information provided originally by the other party (hereinafter "feedback"). Both party agree that all Feedback is and shall be entirely



voluntary and shall not in absence of separate agreement, create any confidentially obligation for the receiving party. However, the Receiving Party shall not disclose the source of any feedback without the providing party's consent. Feedback shall be clearly designated as such and, except as otherwise provided herein, each party shall be free to disclose and use such Feedback as it sees fit, entirely without obligation of any kind to other party. The foregoing shall not, however, affect either party's obligations hereunder with respect to Confidential Information of other party.

Dated this day of ____2025 at ____ (month) (place)

For and on behalf of _____

Name		
Designation		
Place		
Signature		

For and on behalf of _____

Name		
Designation		
Place		
Signature		



Annexure -I

AFFIDAVIT ON A NON-JUDICIAL STAMP PAPER & DULY NOTARIZED

(To be stamped as per the Stamp Act prevalent at the place of execution)

I, _____ sole proprietor/partner/authorized signatory of
M/s. _____ public / private limited company, having its
registered office at.....(Full Address) do hereby solemnly affirm and declare as
under:-

1. That our company is not blacklisted by any Bank/ State Government / Central Government / Financial institution, etc. and have not sublet / subcontracted any work allocated to us.
2. That our company has never been convicted or have any cases pending in the court of Law against any Bank/ State Government / Central Government / Financial institution.
3. That our company has never defaulted in execution of any contract / order of Bank / State Government / Central Government / Financial institution or has no suit for recovery ever filed by any govt. organization against us for violation of terms and conditions.
4. I / we hereby declare that our Company _____ is having unblemished past record and was not declared ineligible for corrupt & fraudulent practices either indefinitely or for a particular period of time.
5. I / We further declare that there have been no damage to records during sorting, **physical segregation of loan files/documents**, scanning and no incident of data loss, data misuse, data pilferage and data misuse during scanning and digitization and after the completion of the project.
6. That our company has never returned back any contract/order unexecuted.
7. That no Bank/ customer of our company is dissatisfied with the machines/Services provided by us and our services are found to be satisfactory by all the banks /customer.
8. That we shall not be sub-contracting any work under the present tender, if we are selected as the successful bidder.

(Signature of the Authorized Signatory/Proprietor/ Managing Partner/Director with Seal)

DEPONENT

Verified at on.....that the contents of Paras 1 to 8 of this affidavit
are true and correct and no part of this is false and nothing material has been concealed or falsely
stated therein.



(Signature of the Authorised Signatory /Proprietor/ Managing Partner/Director with Seal)

DEPONENT

(Signature & Seal of Notary)



Annexure-J

AFFIDAVIT ON A NON JUDICIAL STAMP PAPER & DULY NOTARIZED

(To be stamped as per the Stamp Act prevalent at the place of execution)

I, _____ sole proprietor/partner/authorized signatory of
M/s. _____ public / private limited company,
having its registered office at.....(Full Address) do hereby solemnly
affirm and declare as under:-

1. That in case of our inability to complete the job in time as per RFP, we will not be authorized to remove any of the materials/infrastructure created by us at Bank's site and the Bank will have right to forfeit the same, besides other action as per terms of RFP documents.
2. That we undertake to provide the source code to the Bank for the software to be used for Scanning and digitization activities.

(Signature of the Authorised Signatory/Proprietor/ Managing Partner/Director with Seal)

DEPONENT

Verified at on.....that the contents of Paras 1 to 8 of this
affidavit are true and correct and no part of this is false and nothing material has been
concealed or falsely stated therein.

(Signature of the Authorised Signatory /Proprietor/ Managing Partner/Director with Seal)

DEPONENT

(Signature & Seal of Notary)

Annexure –K(i)

Penalty Clause

1. Work should be performed by the vendor in accordance with the time schedule and quality approved by the Bank as specified in the contract.

- i. Selected vendor to start work and test compatibility within one month of signing SLA
- ii. In the event of failure to maintain performance matrix specified in the Vendor Performance Matrix (Annexure K (ii)), penalties at the rate of 100 % of the project cost prepayment milestone period/ 10 Lacs per month whichever is lower would be levied per month/ payment milestone period subject to a maximum penalty of Rs.30 Lacs or 3 months payment to vendor whichever is higher. The penalties payable by the Vendor shall be in addition to the liquidated damages of as mentioned at para 42 of the RFP. It shall be the responsibility of Vendor to deploy sufficient manpower and resources to complete the task within the stipulated time schedule to avoid any penalty and/or liquidated damages under this clause.
- iii. In the event of loss of securities/documents/title deeds by the Vendor during the process of scanning and storing back into colour coded folders and/or activities associated with the process entrusted by the Bank, Vendor shall compensate the Bank to the whole extent of rebuilding cost including the penalties or cost, if any, awarded by any court/tribunal/legal forum payable by the Bank to its customers in addition to payment of penalty at 5% of project cost per instance per RACPC subject to a maximum of Rs.10 Lacs
- iv. If the quality of the output is found to be unsatisfactory, the vendor to rescan it at no extra cost. However, penalty will be imposed if vendor fails to stick to over all time line.
- v. If vendor imposed with 3 penalties during SLA period then , Bank may cancel the work order apart from invoking PBG and initial deposit. .In such case decision taken by Bank will be the final and will bind all the parties .
- vi. If any of the images in a document is found to be of bad quality or found to be unfit for to upload in EDMS, Bank may reject the entire PDF file which shall be rescanned by the vendor without extra cost and within the overall time set.
- vii. Timelines for completion of project is 2 years from the issuance of work order.
- viii. Vendor has to ensure that sufficient infrastructure and manpower is deployed to complete the project as per timelines.

ix. In case of non-adherence to the timelines penalty would be imposed as applicable.

2. The Vendor shall strictly comply with all Labour and such other statutory Laws in relation to the services to be provided and the personnel engaged by the Vendor and he shall be solely responsible for all acts of the said personnel so enrolled and there shall and will not be any privity of contract for any purpose and to any intent between the Bank and said personnel so engaged by the Vendor. The Bank shall neither be liable nor answerable in respect of any claims or demands in respect of any matter or on any account which may be raised by the said personnel so engaged by the Vendor and it shall be the sole responsibility and liability of the Vendor to answer all such claims or demands of the said personnel so engaged, under any law for the time being in force.

3. The Vendor should process, for the entire duration of the contract, all licenses and registrations as may be required under any law and shall be responsible to register himself and obtain a valid license under Contract Labour (Regulation and Abolition) Act, 1970 and rules there under , if applicable. The Vendor shall comply with all rules and regulation in force under the said Act and rules. The Vendor shall comply with all applicable laws, rules and regulation relating to Provident Fund, Payment of Bonus , Minimum Wages, holiday/Sunday working charges or any other Statutory/Regulatory requirements. Any dispute regarding such dues shall and be dealt with and settled by the contractor.

4. General Indemnity:

a) Service provider agrees and hereby keeps the Bank indemnified against all claims, action, loss, damages, reputation loss, costs, expenses, charges, including legal expenses (Attorney, Advocate fees included) which the Bank may suffer or incur on account of any deficiency in Services rendered by the Service Provider or any acts Commission/ omission on the part of employees, agents, representatives of Service Provider. Service Provider agrees to make good the loss suffered by the Bank on first demand made by the Bank in this regard which shall be final conclusive and binding on Service Provider.

b) Service Provider further undertakes to promptly notify the Bank in writing any breach of obligation of the agreement by its employees or representatives including confidentiality obligation and such an event, the Bank will in addition to and without prejudice to any other available remedies be entitled to immediate equitable relief in a Court of competent jurisdiction to protect its interest including injunctive relief.

c) The Service Provider shall be directly and vicariously liable to indemnify the Bank in case of any misuse of data/information of the Bank by the Service Provider, deliberate or in violation of any law including the DPDP Act.

d) The Service Provider shall indemnify and keep fully and effectively indemnified the Bank against all costs, claims, damages, demands, expenses and liabilities of whatsoever nature arising out of or in connection with all claims of infringement of trade mark, patent, copyright,



industrial design or any other intellectual property rights of any third party arising from the Services or use of software or any other product under this Agreement, subject to the following condition(s):

- (i) The Bank shall promptly notify the Service Provider in writing of any allegations of infringement of which it has notice.
- (ii) The Bank shall not make any admission of claims causing prejudice to the defense of the Service Provider against such claims without the Service Provider's prior written consent.



Annexure K (ii)

Vender Performance Matrix

The vendor is expected to deploy manpower and equipment so as to meet monthly targets as under. Failure to meet Monthly target (based on daily target multiplied by number of working days of the month per RACPC/RASMEC/RACC/Branches/DAC/Other Offices) will attract penalty as per the penalty clause in Annexure K(i).

Name of the circle	Monthly target for sorting, physical segregation of loan files/documents , scanning/digitization/storing/storing of files in color coded folders
	<p>i) Daily target for scanning per RACPC/RASMEC/ RACC/Branches/DAC/other Offices : 40000 images per day</p> <p>ii) daily target for storing of files (after completion of scanning) into color coded folders per RACPC/RASMEC/ RACC/Branches/DAC/other Offices : 200 loan accounts</p> <p>iii) Minimum 80 % of the monthly target to be sorted scanned, digitized, digitally sorted and physically stored(after completion of scanning) in color coded folders at each RACPC/ RASMEC/ RACC/ Branch/Other office failing which penalty as per the penalty clause in Annexure K(i) will be imposed.</p>

RFP for Scanning and Digitization at various
SBI Centers



Annexure -L

Pre-Bid _____ **Query** _____ **Format** _____
(To be provide strictly in Excel format)

Vendor Name	Sl. No	RFP Page No	RFP Clause No.	Existing Clause	Query/Suggestions

Annexure –M

Glossary

BG	Bank Guarantee
CA	Chartered Accountant
CKYC	Centralized KYC
DAC	Document Archival Centre
DPI	Dots per Inch
LHO	Local Head Office
EMD	Earnest Money Deposit
EOI	Expression Of Interest
IPR	Intellectual Property Right
ISO	International Organization for Standardization
IT&IS	Information Technology & Information Security
KYC	Know Your Customer
RACPC/RASMEC/RACC	Retail asset central processing Centre/Retail Asset & Small and Medium Enterprises City Credit Centre/Retail Asset Credit Centre
PBG	Performance Bank Guarantee
POA	Proof of Address
POI	Proof of Identity
PSB	Public sector Bank
PSU	Public Sector Undertaking
RFP	Request For Proposal
SBI	State Bank of India
SLA	Service Level Agreement
TDS	Tax Deducted at Source
SCB	Schedule Commercial Bank
TEC	Tender Evaluation Committee .